

STANDARD PREQUALIFICATION DOCUMENT



**PRE-QUALIFICATION DOCUMENT
FOR
*PROVISION OF BASELINE SURVEY SERVICES FOR THE TRANSPORT AND
TRANSIT FACILITATION PROGRAMME PHASE 2 (TTTFP2).***

ISSUED ON: 6 AUGUST 2026

INVITATION FOR PREQUALIFICATION

Contract NO: SADC/3/5/1/137.

TYPE OF CONTRACT; GLOBAL PRICE.

**PROCURING ENTITY: SOUTHERN AFRICAN DEVELOPMENT COMMUNITY
(SADC) SECRETARIAT**

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PART 1 – Prequalification Procedures

Section I. Instructions to Applicants

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Section I. Instructions to Applicants

A. General

- 1. Scope of Application**
 - 1.1 In connection with the Invitation for Prequalification indicated in Section II, Prequalification Data Sheet (PDS), the Procuring Entity, as defined in the **PDS**, issues this Prequalification Document (PQD) to applicants interested in bidding for the contracts described in Section VI, Contract Requirements.
 - 1.2 The contract has the number of lots as defined in the PSD.
 - 1.3 Applicants can apply for one, several or for all lots. A separate application must be submitted for each lot.
- 2. Procurement Rules and Procedures**
 - 2.1 The current prequalification process is governed by the SADC Secretariat Procurement Guidelines which can be downloaded from the SADC Secretariat website indicated in the **PDS**. The Applicants are encouraged to review this document prior to request Procuring Entity any additional information about the procurement processes and procedures.
- 3. Fraud and Corruption**
 - 3.1 The SADC Secretariat requires its staff, as well as the economic operators interested in entering into procurement contracts financed by SADC Secretariat, including their affiliates and subcontractors, to observe the highest standard of ethics during the selection and execution of contracts. In pursuance of this policy, the SADC Secretariat:
 - (a) defines for the purposes of this provision, the terms set forth below as follows:
 - (i) “corrupt practice” is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - (ii) “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefits or to avoid an obligation;
 - (iii) “collusive practices” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - (iv) “coercive practices” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - (v) “obstructive practice”

- (aa) deliberately destroying, falsifying, altering or concealing material evidence to the investigation or making false statements to investigators in order to materially impede a SADC Secretariat, governmental or independent investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
 - (bb) acts intended to materially impede the exercise of the SADC Secretariat or governmental or inspection and audit rights.
- (b) It will take the following measures against the contractor recommended for award who has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
 - (i) will reject the bid for award;
 - (ii) will declare the bidder/the contractor, including its affiliates, ineligible, either indefinitely or for a stated period of time, to become a SADC Secretariat contractor;
 - (iii) will cancel or terminate any ongoing contract with the bidder /the contractor;
 - (iv) will request the relevant national authorities to conduct a joint investigation with SADC Secretariat to inspect or carry out audits of the bidder /the contractor' accounting records and financial statements in connection with the contract in question for which it was found guilty of engaging in corrupt, fraudulent, collusive, coercive, or obstructive practices;
 - (v) will forfeit the bid or performance securities of the bidder /the contractor;
 - (vi) will suspend any payments due to the bidder/ contractor, under the contract in question or any other contract the bidder/contractor might have with the organization, until the extent of damage caused by the its engagement in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the SADC Secretariat's contract are determined and recovered, and
 - (vii) will sue the bidder /contractor to recover the damages caused by its engagement in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in

question, if they are not fully recovered by the securities and the payments otherwise due to the bidder/contractor.

4. Conflict of Interest

4.1 A bidder or a contractor shall not be allowed to get engaged in any procurement process for delivery of any kind of services, goods or works that would be in conflict with their prior or current obligations to other clients, or that may place them in the position of being unable to carry out the contract in the best interest of the Procuring Entity. Without limitation, bidders or contractors shall not be hired under the circumstances set forth below:

- (a) Conflict between consulting activities and procurement of goods, works or services. A bidder or a contractor that has been engaged by the Procuring Entity to provide goods, works, or services for the organization, and each of its affiliates, shall be disqualified from providing services related to those goods, works or services. Conversely, a bidder or a contractor hired to provide services for the preparation or implementation of a project, and each of its affiliates shall be disqualified from subsequently providing goods, works or services resulting from or directly related to the contractor's services for such preparation or implementation.
- (b) Conflict among consulting assignments (services): Neither, bidders or contractors (including their personnel and sub-consultants) nor any of their affiliates shall be hired for any assignment that, by its nature, may be in conflict with another assignment of the bidder or contractor. For instance, a contractor assisting Procuring Entity to implement a project shall not be engaged to prepare an independent assessment for the implementation of the same project, or contractors hired to prepare Terms of Reference (TOR) for an assignment shall not be hired for the assignment in question.
- (c) Relationship with Procuring Entity's staff: bidders or contractors (including their personnel and sub-contractors) having business or family relationship with a member of the Procuring Entity's staff directly or indirectly involved in any part of: (i) the preparation of the TOR or Technical Specification of a contract, (ii) the selection process for such contract, or (iii) the supervision of the contract, may not be awarded the contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Procuring Entity throughout the selection process and the execution of the contract.

5. Eligible Applicants

5.1 To foster competition, the SADC Secretariat permits all economic operators and individual consultants to be awarded a SADC Secretariat contract.

- 5.2 However, to ensure efficiency of the procurement processes, the Procuring Entity restricts the bidding process to only prequalified eligible economic operators and individual consultants.
- 5.3 All applicants and bidders must not be included in the conditions described below, constituting exclusion criteria:
- a) they are being bankrupt or wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedures provided for in the national legislation or regulations of the SADC member states;
 - b) they have been convicted of offences concerning their professional conduct by a judgment which has the force of *res judicata*; (i.e. against which no appeal is possible);
 - c) they have been declared guilty of grave professional misconduct proven by any means which Procuring Entity can justify;
 - d) they have not fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;
 - e) they have been the subject of a judgment which has the force of *res judicata* for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Procuring Entity' financial interests; or
 - f) they are being currently subject to an administrative penalty.
- 5.4 Points (a) to (d) shall not apply in case of purchasing supplies on particularly advantageous terms from either a supplier definitively winding up its business activities, or the receivers or liquidators of a bankruptcy, through an arrangement with creditors, or through similar procedures under the national law.
- 5.5 The Procuring Entity will accept, as satisfactory evidence, that the applicant or the bidder is not in one of the above situations described in (a), (b) or (e), on submission of a recent extract from the judicial record, or failing that, a recent equivalent document issued by a judicial or administrative authority in the country of origin showing that those requirements are satisfied. The Procuring Entity will accept, as satisfactory evidence, that the applicant or bidder is not in the situation described in (d), on submission of a recent certificate issued by the competent authority of the State concerned. Where no such documents or certificates are issued in the concerned country, and for other cases of exclusion listed above, they may be replaced by a sworn / solemn statement (affidavit) made by the interested party in front of a judicial or administrative authority, a notary, or a qualified

professional body in its country of origin or provenance.

- 5.6 The Procuring Entity takes into account that – as a rule – the exclusion criteria are related to the legal entity/ natural person acts acting as a bidder or applicant and not to the representatives in case of legal entities. However, depending on the legislation of the country where the bidder or applicant is legally established and if the Procuring Entity considers necessary or has reasonable doubts concerning the personal situation, the above documents may also relate to natural persons, including company directors or any person with power of representation, decision-making or control in relation to the bidder. Whenever an applicant or bidder, due to its nature (for instance, national public administrations and international organizations), cannot fall into one of the above categories and/or cannot provide the documents indicated above, a simple declaration explaining their situation will suffice.
- 5.7 For procurement under restricted procedure, the compliance with the eligibility criteria will be assessed during the prequalification phase. Hence, the documentation proving that the applicant does not fall in any of the categories defined in the exclusion criteria, shall be submitted along with the application form for prequalification.
- 5.8 The date on the evidence or documents provided must be up to one (1) year before the date of submission of the application or proposal. Applicants must, in addition, provide a statement confirming that their overall situation has not weakened in the period since the evidence was drawn up to the date they submitted the bid.
- 5.9 The above required documents shall be submitted by the applicant, and in case of a joint venture, by all joint venture members. The documents may be originals or copies. If the documents are copies, they shall be certified by a public notary. However, at the Procuring Entity request, the applicant or bidder must be able to provide any original document.
- 5.10 If sub-contractors are employed by the applicant or bidder, the same rules apply.
- 5.11 If the supporting documents are not written in English, an official and certified translation into English must be attached.
- 5.12 If so stated in the **PDS**, for contracts with a value less than the international threshold (**US\$ 350,000**) and based on its risk assessment, the Procuring Entity may waive the obligation of submission of the documentary proof for exclusion criteria. However, when this obligation has been waived, the Procuring Entity shall still request a sworn / solemn statement issued by the interested party in front of a judicial or administrative authority, a notary or a qualified professional body from the applicant's country. Nevertheless, the

Procuring Entity – at its own criteria- keeps the right to request bidders documents proving their compliance to the eligibility conditions.

- 5.13 Contracts may not be awarded to applicants or bidders who, during the procurement procedure:
- a) are subject to a conflict of interest;
 - b) are guilty of misrepresentation when submitting the information required by the Procuring Entity as a condition of participation in the contract procedure, or fail to submit this information;
 - c) find themselves in any situations of exclusion for the procurement procedure, after the bid or application was submitted.

6. Additional Eligibility Requirements

- 6.1 In addition to the eligibility requirements stated at ITA 4 above this prequalification process shall consider the eligibility requirements stated in the **PDS**.

B. Contents of the Prequalification Document

7. Sections of Prequalification Document

- 7.1 The document for the prequalification of Applicants (hereinafter – “prequalification document”) consists of parts 1 and 2 which comprise all the sections indicated below, and should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 Prequalification Procedures

- Section I. Instructions to Applicants (ITA)
- Section II. Prequalification Data Sheet (PDS)
- Section III Qualification Criteria and Requirements
- Section IV. Application Forms

PART 2 Contract Requirements/Description

- Section V. Contract Requirements
- 7.2 The “Invitation for Prequalification Applications” issued by the Procuring Entity is not part of the prequalification document. A sample form is provided as an attachment to this Prequalification Document for information only.
- 7.3 The Procuring Entity accepts no responsibility for the completeness of the prequalification document and its addenda unless they were obtained directly from the Procuring Entity.
- 7.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or

documentation required by the Prequalification Document.

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| <p>8. Clarification of Prequalification Document</p> | <p>8.1 A prospective Applicant requiring any clarification of the Prequalification Document shall contact the Procuring Entity in writing at the Procuring Entity's address indicated in the PDS. The Procuring Entity will respond in writing to any request for clarification provided that such request is received no later than eleven (11) days prior to the deadline for submission of applications. The Procuring Entity shall electronically publish the clarifications requested plus the answers without identifying its source according to SADC Manual for Publication. Should the Procuring Entity deem it necessary to amend the prequalification document as a result of a clarification, it shall do so following the procedure under ITA 8 and in accordance with the provisions of ITA 17.2.</p> |
| <p>9. Amendment of Prequalification Document</p> | <p>9.1 At any time prior to the deadline for submission of applications, the Procuring Entity may amend the Prequalification Document by issuing addenda or "<i>motu proprio</i>" clarifications.</p> <p>9.2 Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the prequalification document from the Procuring Entity.</p> <p>9.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their applications, the Procuring Entity may, at its discretion, extend the deadline for the submission of applications.</p> |

C. Preparation of Applications

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| <p>10. Cost of Applications</p> | <p>10.1 The Applicant shall bear all costs associated with the preparation and submission of its application. The Procuring Entity will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.</p> |
| <p>11. Language of Application and Communications</p> | <p>11.1 The official language of the procurement processes in SADC Secretariat is the language indicated in the PDS. The communications during the procurement processes shall be written in language stated in the PDS.</p> <p>11.2 The supporting documents to prove the eligibility and qualifications criteria shall be issued in any SADC Secretariat official languages (i.e: English, French and Portuguese). If the original documents are written in language other than SADC Secretariat official languages, they shall be accompanied by an original certified translation into any of the SADC Secretariat official languages. The cost of the translation shall be borne by the applicants.</p> <p>11.3 In case of discrepancies between the original language and the</p> |

language of translation, the language of translation shall prevail.

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| 12. Documents Comprising the Application | <p>12.1 The application shall comprise the following:</p> <ul style="list-style-type: none"> (a) Application Submission Form, in accordance with ITA 13; (b) documentary evidence establishing the Applicant's eligibility to prequalify, in accordance with ITA 14; (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 15; and (d) any other document required as specified in the PDS. |
| 13. Application Submission Form | <p>13.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV, Application Forms. This Form must be completed without any alteration to its format be dully stamped and signed and be accompanied by a power of attorney for the authorized representative of the signatory of the application to allow her/him to engage the Applicant into contracts with Procuring Entity and, in case of the Joint Venture/ Consortium, by a Joint the JV/Consortium agreement, in accordance with ITA 24.3.</p> |
| 14. Documents Establishing the Eligibility of the Applicant | <p>14.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the Application Submission Form (including the eligibility declaration) and Form 1-Applicant Information Form, included in Section IV, and provide the requested supporting documents indicated in these forms.</p> |
| 15. Documents Establishing the Qualifications of the Applicant | <p>15.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.</p> |
| 16. Signing of the Application and Number of Copies | <p>16.1 The Applicant shall prepare one original of the documents comprising the application as described in ITA 12 and clearly mark it "ORIGINAL". The original of the application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.</p> <p>16.2 The Applicant shall submit copies of the signed original application, in the number specified in the PDS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail</p> |

D. Submission of Applications

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| 17. Sealing and | <p>17.1 The Applicant shall enclose the original and the copies of the application in</p> |
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| Identification of Applications | <p>a sealed envelope that shall:</p> <ul style="list-style-type: none"> (a) bear the name and address of the Applicant; (b) be addressed to the Procuring Entity, in accordance with ITA 18.1; and (c) bear the specific identification of this prequalification process indicated in the PDS 1.1. |
| | 17.2 The Procuring Entity will accept no responsibility for not processing any envelope that was not identified as required. |
| 18. Deadline for Submission of Applications | <p>18.1 Applicants may always submit their applications by mail or by hand. When so specified in the PDS, applicants shall have the option of submitting their applications electronically, in accordance with electronic application submission procedures specified in the PDS. Applications shall be received by the Procuring Entity at the address and no later than the deadline indicated in the PDS. A receipt will be given for all applications submitted.</p> <p>18.2 The Procuring Entity may, at its discretion, extend the deadline for the submission of applications by amending the Prequalification Document in accordance with ITA 9, in which case all rights and obligations of the Procuring Entity and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.</p> |
| 19. Late Applications | 19.1 Any application received by the Procuring Entity after the deadline for submission of applications prescribed in ITA 18 will be automatically excluded from the evaluation process. |
| 20. Opening of Applications | 20.1 Any specific electronic application opening procedures required if electronic submission of applications is permitted pursuant to Sub Clause 18.1 shall be as specified in the PDS . Procuring Entity shall prepare a record of the opening of applications that shall include, as a minimum, the name of the Applicant. A copy of the record shall be distributed to all Applicants. |

E. Procedures for Evaluation of Applications

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| 21. Confidentiality | <p>21.1 Information relating to the evaluation of applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of prequalification is made to all Applicants.</p> <p>21.2 From the deadline for submission of applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Procuring Entity on any matter related to the prequalification process, may do so but only in</p> |
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writing.

- 22. Clarification of Applications**
- 22.1 To assist in the evaluation of applications, the Procuring Entity may, at its discretion, ask any Applicant for a clarification of its application which shall be submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.
- 22.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Procuring Entity's request for clarification, its application may be rejected.
- 23. Responsiveness of Applications**
- 23.1 The Procuring Entity may reject any application which is not responsive to the requirements of the prequalification document.
- 24. Joint-ventures or Consortia**
- 24.1 When competing for a Procuring Entity contract, any economic operator may submit an application or bid independently or in joint venture or consortium with other economic operators, provided they legally confirm joint and several liabilities for the bid in case of winning a contract for the implementation of the contract.
- 24.2 A joint venture or consortium may be either a permanent legally established group or a group constituted informally for the purpose to apply, bid and undertake a specific Procuring Entity contract. In every case, all members of a joint venture or consortium are jointly and severally liable to the Procuring Entity in relation to the application, bid, offer or contract for which it was constituted.
- 24.3 Applications and bids submitted by a joint venture or consortium of two or more economic operators shall also comply with the following requirements:
- a) the application and the bid shall be signed to be legally binding on all members;
 - b) the application and the bid must be accompanied by the original legally binding agreement for the all members; the document has to be certified by a Public Notary or a Commissioner of Oath; and
 - c) the agreement legally binding the members of the joint venture or consortium shall include the following mandatory provisions:
 - i. one of the members shall be nominated in charge, and this nomination shall be evidenced by submitting a power of attorney signed by the legally and authorized signatory members;
 - ii. the member in charge shall be authorized to incur liabilities and receive instructions for and on behalf of any and all the members of the joint venture or consortium. The entire communication during the bidding processes and for the execution of the

- contract, including payments, shall be made exclusively with the member in charge;
- iii. if the joint venture or consortium are awarded the SADC Contract for, all members of the joint venture shall be liable jointly and severally for the execution of the contract in accordance with the contractual terms; and
 - iv. the members of the joint venture or consortium are not allowed to leave the joint venture or consortium, and decline their responsibilities, without the Procuring Entity written approval, or until they have been notified by the Procuring Entity that the contract was not awarded to the joint venture or consortium, or in the event they were awarded the contract, until the liability period indicated of the contract expires.
- 24.4 In case of applications or bids sent by a joint venture or consortium, each member shall demonstrate that fulfils the eligibility criteria set in the ITA 4 and ITA 5 above. If one single member fails to demonstrate the compliance with the eligibility criteria, the whole joint venture or consortium shall be considered non eligible.
- 24.5 Regarding the compliance with the qualification criteria, an application sent by a joint venture or consortium shall satisfy the qualification requirements as a whole and not as individual member of the joint venture or consortium.
- 24.6 To avoid distortion of competition and/or corrupt practices, an economic operator and its affiliates, alone or as member of a joint venture or consortium, shall submit only one application for the same Procuring Entity contract.
- 24.7 Affiliates are the group of companies, firms, associations, etc. where the economic operator or any of the major shareholders of the economic operator owns not more than twenty percent (20%) of the shares or the share capital. A major shareholder is any legal or physical person owing not less than twenty percent (20%) of the shares or the share capital of the economic operator.
- 24.8 If an economic operator submits, alone or as member of a joint venture or consortium, more than one application for the same contract, all the applications or bids submitted by the economic operator shall be rejected and banned from participating for a minimum of two (2) and a maximum of (5) years in any other Procuring Entity procurement process.
- 24.9 The restriction concerning the participation in more than one application shall not apply to sub-contractors or personnel.
- 24.10 The Procuring Entity does not acknowledge or undertake any obligations towards the sub-contractors or personnel of the economic

operator participating in a procurement process of the organization.

F. Evaluation of Applications and Prequalification of Applicants

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| 25. Evaluation of Applications | <p>25.1 The Procuring Entity shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements to evaluate the qualifications of the Applicants. The use of other methods, criteria, or requirements shall not be permitted. The Procuring entity shall equally apply the criteria to all Bidders.</p> <p>25.2 Only the qualifications of subcontractors that have been identified in the application may be considered in the evaluation of an Applicant. However, the general experience and financial resources of subcontractors may not be added to those of the Applicant for purposes of prequalification of the Applicant.</p> |
| 26. Procuring Entity's Right to Accept or Reject Applications | <p>26.1 The Procuring Entity reserves the right to accept or reject any application, and to annul the prequalification process and reject all applications at any time, without thereby incurring any liability to Applicants.</p> |
| 27. Prequalification of Applicants | <p>27.1 All Applicants whose applications have met or exceeded ("passed") the specified requirements will, to the exclusion of all others, be prequalified by the Procuring Entity.</p> |
| 28. Notification of Prequalification | <p>28.1 Once the Procuring Entity has completed the evaluation of the applications it shall notify all Applicants the names of those applicants who have been prequalified by publishing on the Procuring Entity website the Shortlist Notice.</p> <p>28.2 Similarly, Procuring Entity will notify unsuccessful applicants on the reasons which led to their disqualification.</p> |
| 29. Invitation to Bid | <p>29.1 Promptly after the notification of the results of the prequalification the Procuring Entity shall invite bids from all the Applicants that have been prequalified.</p> <p>29.2 Bidders may be required to provide a Bid Security acceptable to the Procuring Entity in the form and an amount to be specified in the Bidding Documents, and the successful Bidder shall be required to provide a Performance Security to be specified in the Bidding Documents.</p> |
| 30. Changes in Qualification | <p>30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to bid shall be subject</p> |

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Applicants**

to a written approval of the Procuring Entity prior to the deadline for submission of bids. Such approval shall be denied if as a consequence of the change the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria and Requirements, or if in the opinion of the Procuring Entity, a substantial reduction in competition may result. Any such changes shall be submitted to the Procuring Entity not later than 14 days after the date of the Invitation for Bids.

31.Appeals

- 31.1 The Applicant can appeal a Procuring Entity decision on evaluation of its application following the procedures stated in the Procurement Guidelines indicated in the ITA 2.1.

Section II. Prequalification Data Sheet	
A. General	
ITA 1.1	Procuring Entity is represented by: <i>Southern African Development Community (SADC) Secretariat</i>
ITA 1.1	The list of contracts is: SADC/3/5/1/137
ITA 1.1	The Contract Title is: Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).
ITA 1.1	The Contract Identification Number is: Contract SADC/3/5/1/137
ITA 1.2	Number of Lots: One Title of each Lot: Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).. The overall objective of the project of which this contract will be a part is: To increase sustainable intra-African trade and mobility making them safer, cheaper, faster, and greener and strengthening Africa-EU trade.
ITA 2.1	The applicable Procurement Guidelines are <i>SADC Procurement and Grants Guidelines, August 2021</i> <i>The Guidelines can be downloaded from the SADC Secretariat website at</i> <u>https://www.sadc.int/procurement-document/sadc-procurement-and-grants-guidelines-approved-august-2021</u>
ITA 5.2 (f)	The list of firms debarred by the Procuring Entity can be found on the following website: SADC: No list of Debarred vendors at this Point. EU: https://commission.europa.eu/strategy-and-policy/eu-budget/how-it-works/annual-lifecycle/implementation/anti-fraud-measures/edes/edes-database_en
ITA 5.12	The Applicant “ <i>shall</i> ” have the obligation of submission of the documentary proof for exclusion criteria.

ITA 6.1	The Applicant must comply with the following additional criteria Rule on nationality and origin for public procurement, grants and other award procedures financed under the ACP-EU Partnership Agreement, laid down in Annex IV to the later agreement as revised by Decision No 1/2014 of the ACP-EU Council of Ministers of 20th June 2014 (2014/428/EU) Participation in procedures for the award of procurement contracts or grants financed from the multi-annual financial framework of cooperation under the ACP-EU Partnership Agreement is open to all natural persons who are nationals of, or legal persons who are effectively established in: 1. an ACP State; 2. a Member State of the European Union; 3. beneficiaries of the Instrument for pre-accession assistance; 4. a Member State of the European Economic Area; 5. Overseas Countries and Territories; 6. developing countries and territories, as included in the OECD-DAC list of ODA Recipients, which are not members of the G20 group, without prejudice to the status of the Republic of South Africa, as governed by Protocol 3 of the Partnership Agreement; 7. countries for which Commission has adopted a decision approving the request for <i>reciprocal access</i> to external assistance in agreement with ACP countries; Currently there are no such countries. Member State of the OECD, in the case of contracts implemented in a Least Developed Country (LDC) or a Highly Indebted Poor Country (HIPC).
B. Contents of the Prequalification Document	

ITA 8.1	For clarification purposes, submit your email to the email addresses below. Contract Title; Provision of Baseline Survey Services Contractor for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2). Contract Number; SADC/3/5/1/137. The Procuring Entity's address is: The Head of Procurement SADC Secretariat Private Bag 0095 Gaborone, BOTSWANA Contact Person: Mr. Thomas Chabwera Telephone: +267 364 1989 / 3951863 E-mail: tchabwera@sadc.int, Copy; dtimbo@sadc.int , cnsusa@sadc.int The deadline for submitting clarification requests is 17 August 2026 at midnight local time. The Last date for responses to requests for clarifications is 27 August 2026 at midnight local time. The clarifications will be published at the SADC website www.sadc.int or https://www.sadc.int/procurement-opportunities
C. Preparation of Applications	
ITA 11	The language of the prequalification is: English

ITA 12.1 (d)	The Applicant shall submit with its application, the following additional documents: • <i>Certificate of Incorporation</i> • <i>Valid and Relevant Trading License.</i> • <i>VAT certificate</i> • <i>Valid Tax Clearance Certificate</i> • <i>Duly completed sworn statement sworn at commissioner of Oath or Notary (Please refer to page 26</i> • <i>Professional Indemnity Cover of limit.</i> • <i>Audited financial Statement for 2024, 2025 and 2026 (or last three years).</i>
ITA 16.2	In addition to the original, the number of copies to be submitted with the application is: <i>Not Applicable since submission will be electronic</i>
D. Submission of Applications	
ITA 18.1	The Applicant “<i>shall</i>” submit their applications electronically. For application submission purposes only, applications should be submitted electronically as a zipped folder through the following Cloud link in Collab: - https://collab.sadc.int/s/3YCrpwnzEPXcw4p The Procuring Entity's address is: - Contract Title; Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2). Contract number: SADC/3/5/1/137. Applicant name: The Head of Procurement SADC Secretariat Private Bag 0095 Gaborone, Botswana NOTE: • <i>Bidders are advised to submit their proposals during working hours for support in case of any technical problems. The</i>

	<i>technical support team will not be available after working hours i.e. 7:30 hours – 16:30 hours (Monday – Thursday) and 7:30 hours – 13:00 hours (Friday).</i> • <i>Kindly drop on the link your file as a zipped folder(s) containing all your documents and label it your name and title of the submissions. Where several folders are uploaded, all should bear your name and numbered eg. Applicant Name - B-01, Applicant Name-B-02 etc. Fragmented submissions that do not follow this guidance will not be considered; it is the responsibility of the applicant to ensure submissions have titles/names as guided.</i> • <i>Bidders should take note that Collab uploads do not generate submission emails or messages. However, upon upload the System indicates “UPLOADED FILES”, which shows that the documents have been successfully uploaded.</i> The deadline for the submission of bids/applications is: Date and Time: 7 September 2026 before or by Mid-Night Botswana local time.
20.1	If electronic submission of applications shall be permitted, electronic application opening procedures are: <i>There will be no public opening.</i>
24.6	To avoid distortion of competition and/or corrupt practices, an economic operator and its affiliates, alone or as member of a joint venture or consortium, shall submit only one application for the same Procuring Entity contract.
ITA 27.1	A maximum of six firms will be shortlisted for this assignment. If more than 6 eligible candidates meet the selection criteria (Section III points 2.1, 2.2 and 2.3 of the Prequalification Document) the relative strengths and weaknesses of the applications of these candidates must be re-examined to identify the six best applications for the tender procedure. The only factors which will be taken into consideration during this re-examination are: a) the six with more relevant references accepted will be selected b) If this still does not produce a shortlist of six firms, then the total

	value of relevant contracts accepted will be considered.
ITA 29.1	It is the intention of the Procuring Entity to invite proposals not later than October 2026

Section III. Qualification Criteria and Requirements

This Section contains all the methods, criteria, and requirements that the Procuring Entity shall use to evaluate applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

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1. Eligibility Requirements

No.	Clause	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
1.1	Clause ITA 4	Not be in a conflict-of-interest position	Must meet the requirement	Each member must meet the requirement	Application Submission Form	NA
1.2	Clause ITA 5 (a)	Does not fall into the following situation: they are being bankrupt or wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedures provided for in the national legislation or regulations of the SADC member states.	Must meet the requirement	Each member must meet the requirement	Application Submission Form	Requested attachments to Application Submission Form
1.3	Clause ITA 5 (b)	Does not fall into the following situation: they have been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against	Must meet the requirement	Each member must meet the requirement	Application Submission Form	Requested attachments to Application Submission Form

No.	Clause	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
		which no appeal is possible).				
1.4	Clause ITA 5 (c)	Does not fall into the following situation: they have been declared guilty of grave professional misconduct proven by any means which Procuring Entity can justify.	Must meet the requirement	Each member must meet the requirement	Application Submission Form	Requested attachments to Application Submission Form
1.5	Clause ITA 5 (d)	Does not fall into the following situation: they have not fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed.	Must meet requirement	Each member must meet the requirement	Application Submission Form	Requested attachments to Application Submission Form
1.6	Clause ITA 5 (e)	Does not fall into the following situation: they have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to	Must meet the requirement	Each member must meet the requirement	Application Submission Form	Requested attachments to Application Submission Form

No.	Clause	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
		the Procuring Entity' financial interests.				
1.7	Clause ITA 5 (f)	Does not fall into the following situation: they are being currently subject to an administrative penalty.	Must meet the requirement	Each member must meet the requirement	Application Submission Form	Procuring Entity debarred list of economic operators at <i>this website</i> : https://commission.europa.eu/strategy-and-policy/eu-budget/how-it-works/annual-lifecycle/implementation/anti-fraud-measures/edes/edes-database_en
1.8	ITA 6.1	For an application to be eligible, its country of origin or provenance must be as per clause 6.1 of the Instructions to applicants as modified in Prequalification Datasheet clause ITA 6.1	Must meet the requirement	Each member must meet the requirement	Form 1 – Applicant Information Form	Requested attachments to Form 1 – Applicant Information Form
1.9	Clause ITA 24.6	One application per applicant	Must meet the requirement	Each member must meet the requirement		

2. Qualifications Requirements

No	Subject	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
2.1	Experience in implementing similar contracts	a) Experience as Contractor, in at least Three (3) contracts within the last Seven (7) years, with a cumulative value of at least USD 108,500 that have been successfully and substantially completed and that are similar to the proposed contract. The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of the Contract	Must meet the requirement	All members together must meet the requirement	Form 2 a)	Requested attachments to Form 2 a)
		b) At least 100% of the Applicant's activity shall be in the following area of Trade and Transport Facilitation .	Must meet the requirement	All members together must meet the requirement	Form 2 b)	Requested attachments to Form 2 b)
2.2	Financial Resources	i) Minimum average annual turnover of US\$ 360,680 [One Hundred United States Dollars] , calculated as total certified payments received for contracts in progress or completed, within the last Seven (7) years	Must meet the requirement	All members together must meet the requirement The leader of the JV or Consortia alone must meet at	Form 3	Requested attachments to Form 3

No	Subject	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
				least 100% percentage of the requirement		
		ii) Access to a dedicated credit line or overdraft facility of US\$100,000 [One Hundred Thousand] s United States Dollars	Must meet the requirement	The leader of the consortia must meet the requirement alone	Form 3	Requested attachments to Form 3
2.3	Personnel Resources:	a) Access to minimum four (4) experts specialized in the following areas Expert I. Transport Monitoring and Evaluation Specialist /Team Leader Expert II: Road Transport and Expert Expert III: Legal/Institutional Expert	Must meet the requirement	All members together must meet the requirement	Form 4 a)	Requested attachments to Form 4 a)
		b) Availability of experts with the following specialization Expert I. Transport Monitoring and Evaluation Specialist /Team Leader Expert II: Road Transport and Expert	Must meet the requirement	All members together must meet the requirement	Form 4 b)	Must meet the requirement Form 4 b)

No .	Subject	Requirement	Compliance with the requirement		Source of information	Supporting document
			Single Entity	Joint Venture or Consortium		
		Expert III: Legal/Institutional Expert				
2.4	Professional Capacity	Must have the following s:- Master's degree or equivalent in Development Studies, Social Sciences, Transport, Business or any relevant discipline, or in its absence, a Bachelor's degree plus relevant professional experience of at least 5 years which must be above the general professional experience duration fixed below.	Must meet the requirement	All members together must meet the requirement	[Form 4 b	Form 4 b

TEMPLATE OF THE SWORN STATEMENT To be submitted on the headed notepaper of the legal entity concerned.

<Date>

To: Southern African Development Community (SADC) Secretariat

CBD Plot 54385

Gaborone, Botswana

Your ref: < Publication reference >

Dear Sir/Madam

In response to your prequalification notice < Publication reference >, we, < Name(s) of legal entity or entities>,

hereby declare that we do not fall into any of the following situations:

- being bankrupt or wound up, are having our affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedure provided for in the national legislation or regulations of the SADC member states.
- have been convicted of offences concerning our professional conduct by a judgment, which has the force of res judicata; (i.e. against which no appeal is possible).
- have been declared guilty of grave professional misconduct proven by any means which Procuring Entity can justify.
- have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Procuring Entity' financial interests.
- are being currently subject to an administrative penalty.

We further declare that in case we get shortlisted we will provide necessary supporting documents that will prove that we do not fall into any of.

Section IV. Application Forms

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Application Submission Form

Date: *[insert day, month, year]*Contract No.: **SADC/3/5/1/137**

Title: *Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).*

To: Southern Africa Development Community Secretariat

1 SUBMITTED by [ie, the identity of the Applicant]

	Name(s) of legal entity or entities making this application	Nationality ⁺
Partner in charge *		
Partner 2*		
Etc ... *		

*add / delete additional lines for consortium partners as appropriate. **Note that a sub-contractor is not considered to be a consortium partner for the purposes of this application form.** If this application is being submitted by an individual legal entity, the name of that legal entity should be entered as '**Partner in Charge**' (and all other lines should be deleted). Any change in the identity of the Partner in Charge and/or any JV/consortium partners between the deadline for receipt of applications and the award of the contract (other than for reasons of changes to the legal structure of the individual entities concerned) will result in the immediate exclusion of the Applicant from the procurement procedure.

⁺Country in which the legal entity is registered.

2 CONTACT PERSON (for this application)

Name	
Organization	
Address	
Telephone	
Fax	
e-mail	

3 STATEMENT (for this application)

We, the undersigned, apply to be prequalified for the referenced contract and declare that:

- (a) we have examined and have no reservations to the Prequalification Documents, including Addendum(s) No(s)., issued in accordance with Instructions to Applicants (ITA) Clause 8: *[insert the number and issuing date of each addendum]*, and we are shortlisted we are committed to deliver the *[services/works/goods delete as appropriate]* indicated in the Part 2 of this Document.
- (b) we are fully aware that, in the case of a Joint Venture/Consortium, the composition of the a Joint Venture/Consortium cannot be modified in the course of the procurement procedure. We are also aware that the a Joint Venture/Consortium partners would have joint and several liability towards the Procuring Entity concerning participation in both the procurement procedure and any contract awarded to us as a result of it.
- (c) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification process, complies with the eligibility criteria stated at ITA 4;
- (d) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, do not have any conflict of interest, in accordance with ITA Sub-Clause 4.4;
- (e) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, have not been declared ineligible by the Procuring Entity, or under any SADC country laws or official regulations;
- (f) we, in accordance with ITA Sub-Clause 24.1, plan to subcontract the following key activities and/or parts of the works:
- (g) we declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding bidding process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
<i>[insert full name for each occurrence]</i>	<i>[insert street/ number/city/country]</i>	<i>[indicate reason]</i>	<i>[specify amount in US\$ <u>equivalent</u>]</i>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If none has been paid or is to be paid, indicate "none".]

- (h) We understand that you may cancel the prequalification process at any time and that you

are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Applicants, in accordance with ITA Clause 26.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of:

Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]*

Phone:

Fax:

Email:

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Attached are certified copies of original documents of *[in case of Joint Venture/Consortium these documents must be provided for each partner of the Joint Venture/Consortium]*

- “ The Judicial Certificate to demonstrate compliance with the Eligibility Requirement 1.2, 1.3 and 1.6 references to Clause ITA 5.3 (a), (b) and (e).
- “ The Fiscal Certificate to demonstrate the compliance with the Eligibility Requirement 1.5 reference to Clause ITA 5.3 (d).
- “ The sworn / solemn statement (affidavit) made by the interested party in front of a judicial or administrative authority, a notary, or a qualified professional body in its country of origin or provenance to demonstrate the compliance with the Eligibility Requirement 1.1 reference to Clause ITA 4 and Eligibility Requirements 1.4 and 1.7 reference to Clause ITA 5.3 (c) and (f).
- “ The power of attorney for the authorized representative of the signatory of the application to allow her/him to engage the Applicant into contracts with Procuring Entity.
- “ In case of JV/Consortium, the JV/Consortium agreement, in accordance with ITA 24.3 (c).

Form 1 Applicant Information Form

Date: *[insert day, month, year]*Contract No. **SADC/3/5/1/137:**

Title; **Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).**

Page *[insert page number]* of *[insert total number]* pages

Application is submitted as <i>["Single Entity" or "Joint Venture/Consortium" delete as appropriate]</i>
<i>(In case of Joint Venture/Consortium)</i> The partner in charge is <i>[insert full legal name]</i>
Applicant's legal name: <i>[insert full legal name of the Joint Venture/consortium and of each of the partners]</i>
Applicant's main shareholder s: <i>[insert full legal name of all major shareholders of the Joint Venture and of each of the partners] [For this purpose, major shareholder shall be defined as: any legal or physical person which owns no less than twenty percent (20%) of the shares or the share capital of the Applicant. In case of a Joint Venture/Consortium this situation shall be presented for each partner]</i>
Applicant's Affiliates, in accordance with the ITA 24. 7: <i>[insert full legal name of each affiliate of the Joint Venture/Consortium and of each of the partners]</i>
Applicant's country of constitution: <i>[indicate country of Constitution of the Joint Venture/Consortium and of each of the partners]</i>
Applicant's year of constitution: <i>[indicate year of Constitution of the Joint Venture/Consortium and of each of the partners]</i>
Applicant's legal address in country of constitution: <i>[insert street/ number/ town or city/ country of the Joint Venture/Consortium and of each of the partners]</i>
Applicant's registration number in the country of constitution <i>[indicate the registration number of the Joint Venture/consortium and of each of the partners]</i>
Applicant's authorized representative information <i>[of the Joint Venture/Consortium and of each of the partners]</i>
Name: <i>[insert full legal name]</i>
Address: <i>[insert street/ number/ town or city/ country]</i>
Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i>
E-mail address: <i>[indicate e-mail address]</i>
Attached are certified copies of original documents of <i>[in case of Joint Venture/Consortium these documents must be provided for each partner Joint Venture/Consortium]</i>
“ Articles of Incorporation or Documents of Constitution, and documents of registration of the legal entity named above, in accordance with ITA 4.2.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of:

Applicant's Name *[insert full name of Applicant]* Address *[insert
street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 2 a)
Experience in implementing similar contracts.

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture/Consortium]

Applicant's/Joint Venture Partner's Legal Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant JV Party Legal Name: *[insert full name]*

Contract No SADC/3/5/1/137 :

Title; **Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).**

Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate experience in implementation of similar contracts over the past 7 years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 2.1 (a). List contracts chronologically, according to their commencement (starting) dates.](please note - share the pages of the contract which contain important information, page that shows the title of the assignment, starting and ending dates of the contract, amount of the contract and the signature of both parties in the contract,)

Starting Month / Year	Ending Month / Year	Contract Identification	Role of Applicant
<i>[indicate month/ year]</i>	<i>[indicate month/ year]</i>	Contract name: <i>[insert full name]</i> Brief description of the contract activities performed by the Applicant: <i>[describe the scope of the contract and the activities performed in it]</i> Amount of contract: <i>[insert amount in US\$ equivalent]</i> Name of the Client: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i> Contact person for references <i>[indicate full name, position and contact points: address, phone, fax, email]</i>	<i>(insert "Contractor" or "Subcontractor" or "Contract Manager")</i>
		Contract name: <i>[insert full name]</i> Brief description of the contract activities performed by the Applicant: <i>[describe the scope of the contract and the activities performed in it]</i> Amount of contract: <i>[insert amount in US\$ equivalent]</i> Name of the Client: <i>[indicate full name]</i>	<i>(insert "Contractor" or "Subcontractor" or "Contract Manager")</i>

		Address: <i>[indicate street/number/town or city/country]</i> Contact person for references <i>[indicate full name, position and contact points: address, phone, fax, email]</i>	
		Contract name: <i>[insert full name]</i> Brief Description of the contract activities performed by the Applicant: <i>[describe the scope of the contract and the activities performed in it]</i> Amount of contract: <i>[insert amount in US\$ equivalent]</i> Name of Procuring Entity: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i> Contract name: <i>[insert full name]</i> Brief description of the contract performed by the Applicant: <i>[describe the scope of the contract performed briefly]</i> Amount of contract: <i>[insert amount in US\$ equivalent]</i> Name of the Client: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i> Contact person for references <i>[indicate full name, position and contact points: address, phone, fax, email]</i>	<i>(insert "Contractor" or "Subcontractor" or "Contract Manager")</i>

Attached are certified copies of original documents of *[in case of Joint Venture/Consortium these documents must be provided for each partner of the Joint Venture/Consortium]*

- “ Relevant pages of the Contracts indicated above; and
- “ Acceptance certificates to demonstrate that the contracts indicated are completed and accepted by the Client;

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 2 b)
Experience in implementing similar contracts – Area of Specialization

[The following table shall be filled in Applicant and for each partner of a Joint Venture/Consortium]

Applicant's/Joint Venture Partner's Legal Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant JV Party Legal Name: *[insert full name]*

Contract **No SADC/3/5/1/137** :

Title; Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).

Page *[insert page number]* of *[insert total number]* pages

[Indicate the area specialization which your company had over the past 7 years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 2.1 (b)]

Specialization	Reference clause of the Article of Incorporation or Constitution Document	Year 1	Year 2	Year 3	Year ...	Average
		Percentage in the Annual Turnover	Percentage in the Annual Turnover	Percentage in the Annual Turnover	Percentage in the Annual Turnover	Percentage in the Annual Turnover

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 3 Financial Situation

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture/Consortium and all the information must be consolidated in a summary table]

Applicant's Legal Name: *[insert full name]* Date: *[insert day, month, year]*
Contract **No SADC/3/5/1/137**;

Title: **Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).**

Page *[insert page number]* of *[insert total number]* pages

1. Financial data *[a summary table and a table for each of the partner shall be included]*

[Insert on of the title "Summary Table", or "Name of the partner : [insert name]]

Financial information in (US\$ equivalent in 000s)	Historic information for previous 3 years, <i>[Three]</i> (US\$ equivalent in 000s)				
	Year 1	Year 2	Year 3	Year ...	Average
Annual Turnover					
<i>Out of which:</i>					
Annual Turnover Specific to the area of the contract					
Information from Balance Sheet					
Total Assets					
Total Liabilities					
Net Worth					
Information from Income Statement					
Total Revenue					
<i>Out of which:</i>					
Total Operational					
Total Expenses					
<i>Out of which:</i>					
Total Operational Expenses					
Profits Before Taxes					
<i>Out of which:</i>					
Operational Profit					

2. Financial documents

The Applicant and its parties shall provide copies of the balance sheets and/or financial statements

for past 4 years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 2.2. The financial statements shall:

- (a) reflect the financial situation of the Applicant or partner to a JV/Consortium, and not sister or parent companies.
 - (b) be audited by a certified accountant.
 - (c) be complete, including all notes to the financial statements.
 - (d) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
- .. Attached are copies of financial statements (certified copies of : the balance sheets –including all related notes, audit reports and/or similar statements of the accounts; audited by certified reputable auditors or certified by the fiscal authority of the country where the applicant is registered/ incorporated) for the past 4 years required above; and complying with the requirements.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of:

Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 4 a)
Availability of Personnel

[The following table shall be filled in for the Applicant and jointly for the Joint Venture/Consortium]

Applicant's/Joint Venture Partner's Legal Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract No **SADC/3/5/1/137;**

Title Provision of Baseline Survey Services for the Transport Transit Facilitation Programme Phase 2 (TTTFP2).

Page *[insert page number]* of *[insert total number]* pages

[Provide information on the availability of the personnel resources over the past [3] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 a).]

Partners	Subject	Year 1	Year 2	Year 3	Year ...	Average
Partner in Charge: <i>[insert the name]</i>	Permanent Staff					
	Out of which staff specialized in the area of the contract					
	Other staff					
	Out of which staff specialized in the area of the contract					
	Total					
Partner: <i>[insert the name]</i>	Permanent Staff					
	Out of which staff specialized in the area of the contract					
	Other staff					
	Out of which staff specialized in the area of the contract					
	Total					
Partner in Charge: <i>[insert the name]</i>	Permanent Staff					
	Out of which staff specialized in the area of the contract					
	Other staff					
	Out of which staff specialized in the area of the contract					
	Total					
Overall Joint Venture/ Consortium	Total Permanent Staff					
	Out of which staff specialized in the area of the contract					
	Total Other staff					
	Out of which staff specialized in the area of the contract					

	Overall Total					
--	----------------------	--	--	--	--	--

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of:

Applicant's Name *[insert full name of Applicant]* Address *[insert
street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 4 b)
Availability of Personnel – Expertise availability

[The following table shall be filled in for the Applicant and jointly for the Joint Venture/Consortium]

Applicant's/Joint Venture Partner's Legal Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract No **SADC/3/5/1/137**.

Title; **Provision of Baseline Survey Services for the Transport Transit Facilitation Programme Phase 2 (TTTFP2).**

Page *[insert page number]* of *[insert total number]* pages

[Provide information that demonstrate availability of expertise indicated in Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 b).]

Name of the person	Area of Professional Experience	Position held	Years of relevant professional experience (as per column 2)	Professional Qualification	General Qualification	Nationality

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name*

of Applicant] Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form 5
Applicant Access to Required Facilities

Applicant's Legal Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant's Party Legal Name: *[insert full name]*

Contract **No SADC/3/5/1/137.**

Title; **Provision of Baseline Survey Services for the Transport and Transit Facilitation Programme Phase 2 (TTTFP2).**

Page *[insert page number]* of *[insert total number]* pages

Develop the form to provide the relevant information for demonstrating the compliance with the requirement Section III, Qualification Criteria and Requirements, Sub-Factor 2.4 or delete this form if no special facilities are required to the Applicant.

PART 2 – Contract Requirements

Section VI. Contract Requirements

Contents

1. Description of the Contract Requirements	3
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1. Description of the Contract Requirements

Type of contract

This will be a **Global Price Contract**.

Indicative Budget

Indicative budget of contract Budget Indicative budget is **USD US\$361,680 (Three hundred sixty-one thousand six hundred eighty United States Dollars)**

Duration of the contract

The intended start date is October 2026 after the last date of signature for the awarded contract and **the period of implementation of the contract will be maximum of 40 (Forty) months.**

Location of the contract

The contract will be implemented in **Gaborone, Republic of Botswana.**

Country background

EAC, COMESA, SADC (Tripartite RECs) and their Member States have recognized the importance of regional integration for their development. A number of steps have been taken to support regional integration. For example, in October 2008, heads of state and government, representing the 26 Member States of COMESA, EAC and SADC, met in Kampala, Uganda and signed the Tripartite Memorandum of Understanding (MoU), aiming at establishing a single "grand Free Trade Area" (FTA) covering the countries of all three Regional Economic Communities (RECs). The MoU represented an effort to rationalize the challenges of overlapping and multiple memberships of these regional organizations. The second COMESA-EAC-SADC (the Tripartite) Summit held on 14 June 2011, in Sandton, South Africa, adopted a developmental approach to the Tripartite integration process anchored on three pillars namely: 1) market integration based on the Tripartite Free Trade Area (FTA); 2) infrastructure development to enhance connectivity and reduce costs of doing business; 3) and industrial development to address the productive capacity constraints.

Current situation in the sector

Road transport is the dominant mode of regional transport in Africa moving over 80% of freight. However, road transport is still costly due to several reasons including poor infrastructure, lack of harmonized regulations and inefficient trade and transport facilitation by multiple government agencies. To address the policy and regulatory challenges caused by overlapping memberships of regional economic communities (RECs), shared corridors and inter- REC traffic, the Tripartite RECs of COMESA, EAC and SADC developed and implemented the Tripartite and Transit

Facilitation Programme (TTTFP) between 2017 and 2023. The TTTFP was funded by the European Union.

Overall objective

The overall objective of the project of which this contract will be a part is as follows:

To increase sustainable intra-African trade and mobility making them safer, cheaper, faster, and greener and strengthening Africa-EU trade.

Geographical area to be covered.

The project is being led by the SADC Secretariat and will be implemented in the Tripartite i.e. East African Community (EAC), the Common Market for Eastern and Southern Africa (COMESA) and the Southern African Development Community (SADC).

Experts

Experts have a crucial role in implementing the contract. The contracting authority is indicating an absolute minimum input in terms of related qualifications and experience for the following experts:

Ref	Expert
1	Transport Monitoring and Evaluation Specialist /Team Leader
2	Road Transport and Expert
3	Legal/Institutional Expert

SUMMARY RESULTS FOR BASELINE SURVEY

Result 1: Undertake a gap analysis of the policy, legal, regulatory, systems and institutional reform needs for each country, corridor and REC for harmonisation.

- i. Collect data and information to assess each Member State's compliance with Tripartite road transport instruments, standards and systems for a minimum of 12 Member States using criteria to be agreed.
- ii. Perform assessment of the status of harmonized provisions of the VLMA and MCBRTA and assign a score using the TTTFP 2016 Monitoring and Evaluation (M&E) Baseline Dashboard Tool.
- iii. Prepare Draft Baseline Report for review and incorporate Member State comments after validation.
- iv. Conduct a gap analysis to be presented in country, corridor and REC reports.
- v. Facilitate validation by Member States and key stakeholders, of findings and reports at a regional workshop.

Result 2: Identification of strategic country-level, corridor level and regional-level interventions that will inform the design of a program to address the gaps.

- i. Assess the level of implementation and domestication by each country of the Tripartite road transport legal instruments, standards and systems.
- ii. Map and identify changes and capacity needs to the key institutions with legislative mandate to implement and enforce the measures under the TTTFP 2 KRAs,
- iii. Analyse changes to the status and configuration of institutional and organisational arrangements and relationships since the first phase of TTTFP;
- iv. Assess and evaluate the degree of linkages and symmetry between and among member states institutional arrangements for road transport policy and regulation.
- v. Identify and recommend implementation support required at national, corridor and regional level to implement the TTTFP2.
- vi. Assess and identify institutions in each country that need to develop the base national transport information system [NTIS] in order to meet the data exchange requirements provided for in the TRIPS.
- vii. Record technical assistance requests collected from Member States during country visits and those submitted through questionnaires.

Result 3: Develop and facilitate the implementation of the Logical Framework (LF) Matrix which includes an Indicator Tracking Table and a Monitoring and Evaluation Plan

Develop and implement the TTTFP2 Logical Framework Matrix and a Monitoring and Evaluation Plan, conducting annual monitoring and evaluation during implementation and at the end of programme.

The Procurement Method Restricted Bidding as defined in SADC Secretariat Guidelines on Procurement and Grants, August 2021 edition available on the Procurement documentation page of the SADC website.

The Invitation for Prequalification is open to all companies/firms which satisfy the eligibility and qualification requirements stated in section III of the Prequalification document.



Signed with Impression - Chain of Custody



Signature Request

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Request Type:	WebSigning	Request Status:	WEBVIEWER SIGNED

Original Document

Document Name:	Standard Prequalification Document BASELINE SURVEY Final.pdf	Document Size:	541.8 KB
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Email Evidence

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Email Sent Timestamp:	Not available in Silent Mode	Email Opened Timestamp:	Not available in Silent Mode

Web Evidence

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Web Evidence

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