

REQUEST FOR EXPRESSION OF INTEREST



SELECTION OF INDIVIDUAL CONSULTANT

CONSULTANCY TO DRAFT THE REGIONAL RICE DEVELOPMENT STRATEGY

REFERENCE NUMBER: SADC/3/5/2/315

2nd NOVEMBER 2023

1. **The SADC Secretariat** is inviting Individual Consultants to submit their CV and Financial Proposal for “**Consultancy to draft the regional rice development strategy**”The Terms of Reference defining the minimum technical requirements for these services are attached as Annex 1 to this Request for Expression of Interest.
2. **Only Individual Consultants are eligible for this assignment provided that they fulfil the following eligibility criteria:**
 - a) *they are not bankrupt or being wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from similar procedures provided for in the national legislation or regulations of the SADC member states;*
 - b) *they have not been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against which no appeal is possible);*
 - c) *they have not been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;*
 - d) *they have fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;*
 - e) *they have not been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat' financial interests;*
or
 - f) *they are not being currently subject to an administrative penalty.*
3. The maximum budget for this contract is **US\$ 10,000.00** (Ten Thousand United States Dollars only), inclusive of professional fees and reimbursable expenses. Proposals exceeding this budget will not be accepted.
4. Your Expression of Interest must be presented as per Standard Expression of Interest Forms attached as Annex 2 to this REOI, in the English language and be accompanied by copies of all the indicated supporting documents. If the supporting documents are not in English, these shall be accompanied by a certified translation into English.

5. Proposals clearly marked “**Consultancy to draft the regional rice development strategy: Reference Number SADC/3/5/2/315**”, should be submitted through the virtual tender box link: <https://collab.sadc.int/s/Rs8kr8NYo9ziKo2> by the deadline.
6. The deadline for submission of your proposal, to the address indicated in Paragraph 5 above, is: **22nd November 2023 at or before midnight local (Botswana) time.**
 - *Bidders are advised to submit their proposals during working hours for support in case of any technical problems. The technical support team will not be available after working hours.*
 - *Kindly upload your file on the link as a zipped folder containing all your documents and label it with your name.*
7. Your CV will be evaluated against the following criteria.

| CRITERIA | POINTS |
|----------------------------------|------------|
| Qualifications and skills | 30 |
| General professional experience | 10 |
| Specific professional experience | 60 |
| Total | 100 |

Technical Evaluation

The minimum technical score required to pass is 70 points. Bids not reaching 70 points shall be considered not compliant. Out of the 70 points threshold, the best technical offer is awarded 100 points. The others receive points calculated using the following formula: $\text{Technical score} = (\text{final score of the technical offer in question} / \text{final score of the best technical offer}) \times 100$

Financial evaluation

The Evaluation Committee shall proceed with the financial comparisons of the fees between the different financial offers (fee based are established in the main Contract while for Global Price specific offers will be considered). Both the provisions for reimbursable and expenditure verification shall be excluded from the comparison of the financial bids. The offer with the lowest total fees shall receive 100 points. The others are awarded points by means of the following formula: $\text{Financial score} = (\text{lowest total fees} / \text{total fees of the tender being considered}) \times 100$.

The best value for money is established by weighing technical quality against price on an 80/20 basis. This is done by multiplying:

- the scores awarded to the technical offers by 0.80
- the scores awarded to the financial offers by 0.20

8. Your proposal should be submitted as per the following instructions and in accordance with the Terms and Conditions of the Standard Contract attached as Annex 3 to this REOI:

(i) **PRICES:**

The financial proposal shall be inclusive of all expenses deemed necessary by the Individual Consultant for the performance of the contract.

(ii) **EVALUATION AND AWARD OF THE CONTRACT:**

Expressions of Interest determined to be formally compliant to the requirements will be further evaluated technically.

An Expression of Interest is considered compliant to the requirements if:

- It fulfils the formal requirements (see Paragraphs 2,3,4,5,6,7 and 8 above),
- The financial proposal (professional fees) does not exceed the maximum available budget for the contract as indicated under Para 3.

The award will be made to the applicant who obtained the highest technical score and with the financial offer within the budget as indicated under Para 3. Expressions of Interest not obtaining a minimum technical score of 70 points will be rejected.

(iii) **VALIDITY OF THE EXPRESSION OF INTEREST:**

Your Expression of Interest should be valid for a period of **90 days** from the date of deadline for submission indicated in Paragraph 6 above.

9. The assignment is expected to commence from the date of the last signature of the contract.

10. Additional requests for information and clarifications can be made through the email below;

The Procuring entity: **SADC Secretariat**

Contact person: Mr Thomas Chabwera

Telephone: **3951863**

Fax: **3972848**

E-mail: tnyamukondiwa@sadc.int and tenders@sadc.int

Copy to tchabwera@sadc.int ; dsamikwa@sadc.int

The closing date for receipt of requests for clarification shall be **8th November 2023 at 16.00 hours' local time Botswana.**

The closing date for responding to requests for information and clarification shall be **13th November 2023 at 16.00 hours' local time Botswana.**

All questions received as well as the answer(s) to them will be posted on the SADC Secretariat's website by

ANNEXES:

ANNEX 1: Terms of Reference

ANNEX 2: Expression of Interest Forms

ANNEX 3: Standard Contract for Individual Consultants

Sincerely,



Mr Thomas Chabwera
Head of Procurement Unit

ANNEX 1: TERMS OF REFERENCE



(Global Price)

CONSULTANCY TO DRAFT THE REGIONAL RICE DEVELOPMENT STRATEGY



CONSULTANCY TO PRODUCE DRAFT REGIONAL RICE DEVELOPMENT STRATEGY

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1.0 BACKGROUND INFORMATION

1.1 Partner country and procuring entity.

Southern African Development Community (SADC)

1.2 Contracting authority

Southern African Development Community Secretariat (SADC Secretariat)

1.3 Country background

The Southern African Development Community (SADC) is a Regional Economic Community comprising 16 Member States, namely; Angola, Botswana, Comoros, Democratic Republic of Congo, Eswatini, Lesotho, Madagascar, Malawi, Mauritius, Mozambique, Namibia, Seychelles, South Africa, Tanzania, Zambia, Zimbabwe. Established in 1992, SADC is committed to Regional Integration and poverty eradication within Southern Africa through economic development and ensuring peace and security.

Furthermore, the Southern African Development Community (SADC) Region is dominated by agricultural activities which are essential both for household food security and national economic development. Many people in the SADC Region are directly or indirectly dependent on agriculture, with a contribution to national GDP that ranges from 3 to 53 per cent. Crop production and food of plant origin constitutes the single most important determinant of food security for SADC citizens.

Despite the importance of the agricultural sector, the recurrent natural disasters such as droughts, floods and cyclones have led to food deficits in the SADC region, thus raising great concerns for national and regional food security. The Region has experienced droughts almost every decade with the recent ones being recorded in the 2014/15 and 2015/2016 seasons with devastating impact on the cropping season. In addition, it has been subject almost annually to the negative impacts of cyclones, mainly for the period between January to March, inundating large areas of crops and drowning cattle. Mainly in Madagascar, Mozambique, and lately in Zimbabwe, Zambia, and Malawi

The SADC Regional Indicative Strategic Development Plan (RISDP) 2020-2030 and the Regional Agricultural Policy (RAP 2014) identify as one of their relevant objectives, the engagement of private and public sector in supporting the development of regional value chains to transform agriculture. In that regard, profiling of regional agricultural value chains has been done, which has resulted in the identification of four (4) relevant regional value chains, including cotton, rice, soya and wheat. A detailed mapping of each of these value chains has just been concluded to inform the development of relevant strategies to promote is development and implementation.

1.4 Current situation in the sector

Rice is a staple food for more than half of the world's population grown in more than 100 countries with 90% of total global production in Asia. Most rice is produced for human consumption (95% of total global production), but it's also used in beverages such as sake and, increasingly, in the production of biofuels. Although rice is produced over vast areas of the world, the physical requirements for growing it are limited to certain areas (USDA).

According to a SADC report on Rice Value Chains Mapping, Madagascar, Tanzania, and DRC produce over 95% of the SADC regions rice. There are thousands of types of rice, but depending on how producers process them, they can fit into two groups: white or brown (whole grain). White rice is the most common type of rice found in the SADC region, though

brown rice offers more health benefits. Rice varieties can also be divided into 'short grain' varieties which are 'sticky' when boiled into a porridge and 'long grain' varieties that are more commonly found in supermarkets and have higher prices. Rice production is divided into 'upland' and 'paddy' rice. Upland rice requires less water while 'paddy' cultivation generally involves controlled irrigation. Rice is relatively easy to store making it available throughout the year and is easily traded.

The rice value chain in the SADC region can be divided into two models. These are a) a model based on in-country production (e.g., Madagascar, Malawi, Tanzania, and DRC) where the majority of rice is produced, processed and consumed locally; and b) import based models (e.g., Eswatini, Namibia, South Africa, Zimbabwe and Zambia) where the Member State relies on imports from international markets (esp. Asia). Long grain white rice is usually imported in bulk and re-packaged in-country for retail sale (SADC).

Rice productivity levels for the SADC region averaged approximately 1.7 MT per Ha which is below global average yield for rice of 4.6 MT/Ha. Potential rice yields under optimum irrigated conditions for paddy rice are 6 to 10 Mt/ha depending on varieties of rice grown and management levels.

Rice has been identified as one of the priorities Agroprocessing value chains for the SADC. The regional demand for Rice in SADC is greater than the current levels of supply from local production. The report on Profiling of the Regional Agro-Processing Value Chains in the SADC Region (2019) notes that on average over the 2015 – 2017 period, the SADC region was a net importer of rice importing \$1 billion (bn). About 76% (USD value) of total cereal exports (including rice) were traded intra-regionally at a value of \$3 billion over the same period. Of the \$1 billion of rice imported into the SADC region, 7% or \$8 m was sourced from within the region. The report notes that to improve production and rice trade in the region, several measures need to be taken including: Leading the respective role players to mustering as many of the novel technologies as possible; facilitate large-scale commercial rice farming with very high yields; facilitate small-scale farmers to utilise as many of the new technologies as possible; and promote more direct marketing of rice to the public through branding and small packaging.

This study is aimed at addressing the challenges of the rice sector in SADC through the design and development of effective strategies that leverage latest technologies, farming practices, enabling policies, and knowledge sharing.

1.5 Related programmes and other donor activities

This consultancy will be funded by SADC Member States, but it is related to the SADC Support to Industrialisation and the Productive Sectors (SIPS) Programme supported by the European Union (EU) and the German Federal Ministry for Economic Cooperation and Development (BMZ) on the enhancement of policy, regulatory and operational business environment at national and regional levels for the development and sustainable operation of regional value chains in the agro processing.

2.0 OBJECTIVE, PURPOSE & EXPECTED RESULTS

2.1 Overall objective

The overall objective of the consultancy is to develop a regional rice development strategy to contribute to crop production and productivity.

2.2 Purpose (Specific Objective)

The purpose of this contract is as follows:

Carry out a detailed analysis of the status in the region regarding rice production and productivity and demand. Using this analysis as a foundation, the consultant shall propose a regional rice development strategy, providing sufficient detail of the strategic areas of action for Member States to use in promoting the adoption and investments in rice production.

Under the supervision of the Senior Programme Officer – Food Security and Agriculture under Directorate of Food, Agriculture and Natural Resources (FANR, the consultant will be required to develop a detailed regional rice development strategy based on current and past initiatives on rice development in the region, and experience from elsewhere, mainly in Asia. He/she will also consult key experts in the region to establish the priorities and needs of the region, based on which he/she will develop a draft regional rice development strategy.

2.3 Results to be achieved by the contractor.

The main output of the study will be a draft regional rice development strategy which will be validated by Member States through a meeting of the Technical Committee of Directors of Crops.

3.0 ASSUMPTIONS & RISKS

3.1 Assumptions underlying the project.

There has been commitment to implement the Strategy and that there will be no delays during the consultation processes.

3.2 Risks

This is a SADC Secretariat financed consultancy. The main risk to the project may take too long through internal approval and procurement processes. The other risk will be in finding an available and competent subject matter expert.

4.0 SCOPE OF THE WORK

4.1 General

4.1.1 Project description

Consultancy to draft a regional rice development strategy, validate it with the Member States through the Directors of Crop Production Technical Committee and present it to Ministers responsible for Agriculture and Food Security, Fisheries and Aquaculture for approval.

4.1.2 Geographical area to be covered.

All SADC Member States

4.1.3 Target groups

All sectors involved in rice value chains including producers, off takers, traders, marketers, manufacturers, and consumers.

4.2 Specific work

In particular, the consultant will undertake the following tasks:

- I. Carry out a thorough review of the existing regional literature, proposals, initiatives and in Member States National Rice Development Strategies, and any other relevant information on rice production in the SADC region to, identify specific areas of convergence (common strategic thrusts or objectives) that are aimed at:
 - a. strategies to boost rice production and productivity;
 - b. strategies for dissemination of harmonized mechanisms of production, processing, and intra-regional trade;
 - c. strategies to promote better utilization of rice by-products;
 - d. strategies for rice value addition – in compliance to SADC standards, packaging requirements and adhering to food safety and hygiene requirements;
 - e. strategies for policy and regulatory measures that promote the strengthening of local, national, and regional enabling environment for increased productivity, value addition, trade, profitability, competitiveness, inclusion, and resilience; and
- II. Develop a strategy, inclusive of a vision, strategic objectives, initiatives, and outcomes for the development / improving of SADC Regional rice value chain.

4.3 Project management

4.3.1 Responsible body

Directorate of Food, Agriculture and Natural Resources (FANR) Management structure

The consultant will report to the SADC FANR Directorate and will be managed by Senior Programme Officer – Food Security and Agriculture on day-to-day basis.

4.3.2 Management Structure

The Consultant shall report to the Director Food Agriculture and Natural Resources (FANR) through the Senior Programme Officer–Food Security & Agriculture and perform the assigned tasks under the direct supervision of the Senior Programme Officer. The consultant will continuously (via monthly reports/ email /zoom/ calls) update the Secretariat on progress and/or challenges relating to the assignment.

4.3.3 Facilities to be provided by the contracting authority and/or other parties.

For all experts working on the project SADC Secretariat, as the Contracting Authority, will facilitate residence and work permit, as well as residence permits for the immediate family members if required.

This is a short term contract that will mostly be conducted virtually.

5.0 LOGISTICS AND TIMING

5.1 Location

The Assignment will be conducted virtually. The Secretariat will facilitate meetings with Member States Directorates and Units through electronic platforms including but not limited to zoom teleconferencing for the performance of duties under the assignment.

5.2 Start date & period of implementation.

The intended start date is as soon as the contract is signed and the period of implementation of the contract will be 2 months from this date.

6.0 REQUIREMENTS

6.1 Staff

Note that civil servants and other staff of the public administration, of the partner country or of international/regional organisations based in the country, shall only be approved to work as experts if well justified. The justification should be submitted with the tender and shall include information on the added value the expert will bring as well as proof that the expert is seconded or on personal leave.

6.1.1 Experts

Experts have a crucial role in implementing the contract. The contracting authority is indicating an absolute minimum input in terms of related qualifications and experience for the experts as follows:

Qualifications and skills

- Minimum of a Master's degree in Agronomy, Agriculture Economics, Crop Production Sciences, and any Natural Sciences. Excellent fluency in the English language is mandatory.

General professional experience

- Preferably six (6) years of professional experience in the field of agricultural development.
- Work experience in the SADC region will be an added advantage.

Specific professional experience

- A proven track record in developing policies or strategies.
- A proven track record in programme/projects reviews.
- At least four years' experience in strategy development and implementation.
- Computer literacy in MS Office applications including Word, Excel, Power Point, and Outlook.
- Technical experience working with governments, and cooperating partners.
- Work experience with developing strategies and/or systems of regional and national programme.



- Comprehensive knowledge in qualitative data collection, analysis, and synthesis.
- Exposure to the field of agriculture, food, and nutrition security will be an added advantage.

The expert must be independent and free from conflicts of interest in the responsibilities take on.

6.1.2 Support staff & backstopping

NA

6.2 Office accommodation

NA

6.3 Facilities to be provided by the contractor.

The contractor must ensure that experts are adequately supported and equipped. It must ensure that there is sufficient administrative, secretarial, and interpreting provision to enable experts to concentrate on their primary responsibilities. It must also transfer funds as necessary to support their work under the contract and to ensure that its employees are paid regularly and in a timely fashion.

This assignment will be conducted virtually hence; the Incidental expenses will not be necessary.

6.4 Equipment

No equipment is to be purchased on behalf of the contracting authority / procuring entity as part of this service contract or transferred to the contracting authority / procuring entity at the end of this contract. Any equipment related to this contract that is to be acquired by the procuring entity must be purchased by means of a separate supply tender procedure.

6.5 Incidental expenditure

NA

6.6 Expenditure verification

NA

7.0 REPORTS

7.1 Reporting requirements

There must be a final report, a final invoice, and the financial report at the end of the period of implementation of the tasks. The draft final report must be submitted at least 15 days before the end of the period of implementation of the tasks.

To summarise, in addition to any documents, reports and output specified under the duties and responsibilities of each key expert above, the contractor shall provide the following reports:

| Name of report | Content | Time of submission |
|------------------|--|---|
| Inception report | Analysis of existing situation and work plan for the project | No later than 15 days after the start of implementation |



| | | |
|--------------------|--|--|
| Draft final report | Short description of achievements including problems encountered and recommendations. | No later than 15 days before the end of the implementation period. |
| Final report | Short description of achievements including problems encountered and recommendations; a final invoice and the financial report accompanied by the expenditure verification report. | Within one week of receiving comments on the draft final report from the project manager identified in the contract. |

Payment schedule related to reports and their approvals, as follows:

- a) 20% of the contract price shall be paid upon submission and approval of the Inception report;
- b) 40% of the contract price shall be paid upon submission of draft report completed.
- c) 40% of the contract price shall be paid upon submission of final report

7.2 Submission & approval of reports

Copies of the reports referred to above must be submitted to the project manager identified in the contract. The reports must be written in English. The project manager is responsible for approving the reports.

8.0 MONITORING AND EVALUATION

8.1 Definition of indicators

The monitoring of the above roadmap and the general assignment will be conducted by the Director – Food Agriculture and Natural Resource (FANR) and the Food Security and Agriculture (FSA) Unit

8.2 Special requirements

The Consultant will submit the document as per the Terms of Reference provided. The document will be signed off by the SPO-FSA and recommended to the Director FANR for consideration and further submission for approval.

9.0 ESTIMATED BUDGET

The estimated budget for this assignment is US\$10,000.00.

* * *

ANNEX 2: Expression of Interest Forms

| | | |
|----|---|----|
| A. | <u>COVER LETTER FOR THE EXPRESSION OF INTEREST FOR THE PROJECT</u> .. | 16 |
| B. | <u>CURRICULUM VITAE</u> | 18 |
| C. | <u>FINANCIAL PROPOSAL</u> | 22 |

COVER LETTER FOR THE EXPRESSION OF INTEREST FOR CONSULTANCY TO PRODUCE DRAFT REGIONAL RICE DEVELOPMENT STRATEGY

REFERENCE NUMBER: SADC/3/5/2/315

[Location, Date]

To: SADC Secretariat

Dear Sirs:

I, the undersigned, offer to provide the consulting services for the **Consultancy to produce draft regional rice development strategy**, in accordance with your Request for Expression of Interests number **SADC/3/5/2/315**, dated **2nd November 2023** for the sum of USD[..... dollars¹]. This amount is inclusive of all expenses deemed necessary for the performance of the contract in accordance with the Terms of Reference requirements, and [*“does” or “does not” delete as applicable*] include any of the following taxes in Procuring Entity’s country: value added tax and social charges or/and income taxes on fees and benefits.

I hereby declare that all the information and statements made in my CV are true and accept that any misinterpretation contained in it may lead to my disqualification.

I take note that under the provisions of the SADC Procurement Policy applicable to this Request For Expression of Interest, a contract cannot be awarded to applicants who are in any of the following situations:

- a) they are being bankrupt or wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedures provided for in the national legislation or regulations of the SADC member states;*
- b) they have been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against which no appeal is possible);*
- c) they have been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;*
- d) they have not fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;*
- e) they have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat' financial interests; or*
- f) they are being currently subject to an administrative penalty.*

I confirm that I am not in any of the situations described above, and I hereby declare that at any point in time, at the SADC Secretariat’s request, I will provide certified copies of documents to prove so.

1

I am aware that the penalties set out in the Procurement Policy may be applied in the case of a false declaration, should the contract be awarded to me.

My proposal is binding upon me for the period indicated in Paragraph 9(iii) of this Request for Expression of Interest.

I undertake, if my Proposal is accepted, to initiate the consulting services related to the assignment not later than the date indicated in Paragraph 6 of the Request for Expression of Interest, and to be available for the entire duration of the contract as specified in the Terms of Reference.

I understand you are not bound to accept any Proposal you receive.

Yours sincerely,

Signature [*In full and initials*]: _____

Name and Title of Signatory: _____

B. CURRICULUM VITAE

[insert full name]

- 1. Family name: *[insert the name]*
- 2. First names: *[insert the names in full]*
- 3. Date of birth: *[insert the date]*
- 4. Nationality: *[insert the country or countries of citizenship]*

- 5. Physical address: *[insert the physical address]*
- 6. Postal address
- 7. Phone: *[Insert Postal Address]*
- 8. E-mail: *[insert the phone and mobile no.]*
[Insert E-mail address(es)]

- 9. Education:

| Institution: [Date from – Date to] | Degree(s) or Diploma(s) obtained: |
|--|---|
| <i>[indicate the month and the year]</i> | <i>[insert the name of the diploma and the specialty/major]</i> |
| <i>[indicate the month and the year]</i> | <i>[insert the name of the diploma and the specialty/major]</i> |

10. Language skills: (Indicate competence on a scale of 1 to 5) (1 – excellent; 5 – basic)

| Language | Reading | Speaking | Writing |
|------------------------------|-------------------------|-------------------------|-------------------------|
| <i>[insert the language]</i> | <i>[insert the no.]</i> | <i>[insert the no.]</i> | <i>[insert the no.]</i> |
| <i>[insert the no.]</i> | <i>[insert the no.]</i> | <i>[insert the no.]</i> | <i>[insert the no.]</i> |

- 11. Membership of professional bodies: *[indicate the name of the professional body]*
- 12. Other skills: *[insert the skills]*
- 13. Present position: *[insert the name]*
- 14. Years of experience: *[insert the no]*
- 15. Key qualifications: (Relevant to the assignment)
[insert the key qualifications]

16. Specific experience in the region:

| Country | Date from - Date to |
|-----------------------------|--|
| <i>[insert the country]</i> | <i>[indicate the month and the year]</i> |
| | |
| <i>[insert the country]</i> | <i>[indicate the month and the year]</i> |

17. Professional experience:

| Date from – Date to | Location of the assignment | Company & reference person (name & contact details) | Position | Description |
|--|--|---|---|--|
| <i>[indicate the month and the year]</i> | <i>[indicate the country and the city]</i> | Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company: | <i>[indicate the exact name and title and if it was a short term or a long term position]</i> | Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities: |
| <i>[indicate the month and the year]</i> | <i>[indicate the country and the city]</i> | Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company: | <i>[indicate the exact name and title and if it was a short term or a long term position]</i> | Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities: |
| <i>[indicate the month]</i> | <i>[indicate the country]</i> | Name of the Company: Address of the company: | <i>[indicate the exact name and title and if]</i> | Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities: |

| Date from – Date to | Location of the assignment | Company & reference person (name & contact details) | Position | Description |
|--|--|---|---|--|
| <i>and the year]</i> | <i>and the city]</i> | Phone: Fax: Email: Name and title of the reference person from the company: | <i>it was a short term or a long term position]</i> | |
| | | | | |
| <i>[indicate the month and the year]</i> | <i>[indicate the country and the city]</i> | Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company: | <i>[indicate the exact name and title and if it was a short term or a long term position]</i> | Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities: |

18. Other relevant information: (e.g. Publications)

[insert the details]

19. Statement:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

I hereby declare that at any point in time, at the SADC Secretariat's request, I will provide certified copies of all documents to prove that I have the qualifications and the professional experience as indicated in points 9 and 17 above¹, documents which are attached to this CV as photocopies.

By signing this statement, I also authorize the SADC Secretariat to contact my previous or current employers indicated at point 14 above, to obtain directly reference about my professional conduct and achievements.

_____ Date: _____

- ATTACHMENTS:**
- 1) Proof of qualifications indicated at point 9
 - 2) Proof of working experience indicated at point 17

¹ *The proof of stated qualifications shall be in the form of the copies of the degrees and diploma obtained, while for the professional experience the proof shall be either acknowledgement letters from the previous employers or copies of the Purchase Order/ Contract signed with them.*

C. FINANCIAL PROPOSAL

CONSULTANCY TO PRODUCE DRAFT REGIONAL RICE DEVELOPMENT
STRATEGY: SADC/3/5/2/315.

| N° | Description ¹ | Total (in US\$) |
|---|--------------------------|--------------------|
| TOTAL FINANCIAL OFFER (All-inclusive lump sum) | | |

Signature [*In full and initials*]: _____

Name and Title of Signatory: _____

¹ Delete items that are not applicable or add other items as the case may be.

ANNEX 3: STANDARD CONTRACT FOR INDIVIDUAL CONSULTANTS

STANDARD TERMS OF CONTRACT

(Individual Consultant)

CONSULTANCY TO PRODUCE DRAFT REGIONAL RICE DEVELOPMENT STRATEGY : SADC/3/5/2/315. This Contract (“Contract”) is made, between

The **SADC Secretariat**, having its principal place of business at the SADC Headquarters, Plot No. 54385, Central Business District, Private Bag 0095, Gaborone, Botswana (hereinafter referred to as the “Procuring Entity”),

and, on the other hand,

(.....); (hereinafter referred to as the “Individual Consultant”), with residence at , , with email contact: ; Tel: Passport Number issued on by the Government of...

WHEREAS, the Procuring Entity wishes to have the Individual Consultant perform the Services hereinafter referred to as; Consultancy to develop methodological guidelines for computation of informal cross border trade statistics (ICBTS) in SADC Region,

AND WHEREAS the Individual Consultant represents and affirms that he possesses the requisite experience, qualifications, capability and skill to perform the said Services and is willing to perform these Services;

NOW THEREFORE THE PARTIES hereby agree as follows:

1. Definitions

For the purpose of this contract the following definitions shall be used:

- 1.1 **Contract** means the agreement covered by these terms including the Annexes and documents incorporated and/or referred to therein, and attachments thereto.
- 1.2 **Contract Value** means the total price of the Financial Proposal included in the Individual Consultant’s quotation dated (.....) for the project “**Consultancy to produce draft regional rice development strategy-Reference Number: SADC/3/5/2/315**” and reflected as such in Annex 2 of this Contract.
- 1.3 **Data Subject** means a natural person (i.e., an individual) who can be identified, directly or indirectly, by reference to Personal Data.
- 1.4 **Individual Consultant** means ..., the individual to whom the Procuring Entity has awarded this contract following the Request for Expression of Interest for **Consultancy to produce draft regional rice development strategy-Reference Number: SADC/3/5/2/315.**”

- 1.5 **Personal Data** means any information relating to an identified or identifiable living natural person. This may include an identifier such as a name or audio-visual materials, an identification number, location data or an online identifier; it may also mean information that is linked specifically to the physical, physiological, genetic, mental, economic, cultural, or social identity of a Data Subject. The term also includes data identifying or capable of identifying human remains.
- 1.6 **Procuring Entity** means the legal entity, namely the SADC Secretariat who procures the Services described in Annex 1 to this Contract.
- 1.7 **Project Director** means the Procuring Entity's authorised representative who may exercise authority attributable to her in this Contract and her details are as follows:

Mr. Duncan Samikwa
Senior Program Officer–Crops (FANR Directorate)
Southern African Development Community (SADC)
Plot 54385 New CBD.
Private Bag 0095 Gaborone,
BOTSWANA.
Telephone: +267 364 1957/ +267 395 1863
Email: dsamikwa@sadc.int

- 1.8 **Services** means the Services to be performed by the Individual Consultant in this Contract.

2. THE SERVICES

The Individual Consultant shall undertake the performance of the Services in accordance with the provisions of the Annex 1 of this Contract and shall, in the performance of the Services, exercise all the reasonable skill, care and diligence to be expected of an Individual Consultant carrying out such services.

3. EFFECTIVE DATE AND DURATION

- 3.1 This Contract shall enter into force on the date of its last signature by either of the Parties or the date that the Procuring Entity specifies in the notice to the Individual Consultant instructing the Individual Consultant to begin carrying out the Services.

- 3.2 The duration of the Contract shall be 2 months.

4. PAYMENT

- 4.1 The Individual Consultant shall be paid for the Services at the rates and upon the terms set out in Annex 2 to this Contract.

- 4.2 The Individual Consultant shall be paid a total amount of **US\$ (State Dollars only)**, fixed cost, in accordance with the provisions of Annex 2 to this Contract.
- 4.3 Payment shall be made to the Individual Consultant in US dollars unless otherwise provided for under this Contract.
- 4.4 Unless otherwise provided in this Contract, invoices shall be delivered to and made out to the Procuring Entity and shall be paid within 30 days of receipt by the Project Director, subject to the Individual Consultant having complied with his/her obligations hereunder in full as stated in the Annex 1 and 2 to this Contract.
- 4.5 The Procuring Entity reserves the right to delay and/or withhold, fully or partially, payments that have not been supported by full and appropriate supporting evidence that the Services provided were delivered and accepted by the Procuring Entity.

5. STATUS OF THE INDIVIDUAL CONSULTANT

- 5.1 Nothing contained herein shall be construed as establishing or creating a relationship of master and servant or principal and agent or employer and employee or a partnership or a joint venture as between the Parties, it being agreed that the position of the Individual Consultant under this Contract is that of an independent contractor.
- 5.2 The Individual Consultant shall be responsible for paying any tax and social security contributions in his/her country of residence, for any activity deriving from this Contract. Such costs shall be assumed included in the Individual Consultant's fees.

6. SUPERVISION OF THE SERVICES

The Individual Consultant undertakes to deliver the Services in compliance with a system of quality assurance acceptable to the Procuring Entity which shall include any steps to comply with the standards operated by the Procuring Entity. The Individual Consultant shall be informed of the specific requirements in relation to this, and at the request of the Procuring Entity he shall allow access to information, records and other materials during normal office working hours as the Procuring Entity may require in order to confirm that the work in progress is in accordance with these quality procedures.

7. COMPLIANCE WITH THIS CONTRACT

The Procuring Entity shall be entitled to seek confirmation from the Individual Consultant, at any time during the delivery of this Contract, and for a period of 1 year after its completion, that the Individual Consultant has complied with the

terms of this Contract. The Procuring Entity may also request the provision of reasonable documentary evidence to support this.

8. ASSIGNMENT AND SUBCONTRACTING

8.1 The Individual Consultant shall under no circumstances sub-contract, assign or transfer the Contract or any part share or interest in it. Where the Individual Consultant considers it necessary to use the services of a third party, he shall inform the Procuring Entity's Project Director in writing, and only once written approval is provided can the Consultant proceed to use a third party.

8.2 When the Project Director agrees that the activities under the Contract may be performed by a third party, the third party involved in the delivery of Services in this Contract, will be under the direct control of the Individual Consultant. The Procuring Entity shall not be responsible for the third party's performance of duties or Services assigned to it, and neither for ensuring that conditions of employment are met nor for any other employment obligations relating to that person including, but not restricted to, taxation and insurance including professional indemnity insurance, employer's liability insurance and public liability insurance.

9. BREACH OF THE TERMS

In the event of a breach of any terms of the Contract, a Party may serve a notice on the Party alleged to be in breach requiring the breach to be remedied within a period specified in the notice, not being longer than 30 days. If the breach has not been remedied before the expiry of the specified period, the Party not in breach may then terminate the Contract in writing and may take appropriate steps to remedy the breach.

10. LIABILITY OF THE INDIVIDUAL CONSULTANT

10.1 The Procuring Entity will be relying on the Individual Consultant's skills, expertise and experience in relation to the performance of the Services in accordance with this Contract and also upon the accuracy of all representations and statements made and the advice given in connection with the provision of the Services.

10.2 In view of the reliance by the Procuring Entity set out in clause 10.1 above, the Individual Consultant agrees to indemnify at its own expense, protect and defend the Procuring Entity, its agents and employees, from and against all actions, claims, losses or damages arising out of the Individual Consultant's performance of this Contract provided that:

- a) the Individual Consultant is notified of such actions, claims, losses or damages not later than 30 days after the Procuring Entity becomes aware of them;
 - b) the ceiling on the Individual Consultant's liability to the Procuring Entity shall be limited to an amount equal to the contract value but such ceiling shall not apply to any losses or damages caused to third parties by the Individual Consultant's willful misconduct; and
 - c) the Individual Consultant's liability shall be limited to actions, claims, losses or damages directly caused by such failure to perform its obligations under the Contract and shall not include liability arising from unforeseeable occurrences incidental or indirectly consequential to such failure.
- 10.3 At its own expense, the Individual Consultant shall, upon request of the Procuring Entity, remedy any defect in the performance of the Services in the event of the Individual Consultant's failure to perform its obligations under the Contract.
- 10.4 The Individual Consultant shall have no liability whatsoever for actions, claims, losses or damages occasioned by the Procuring Entity omitting to act on any recommendation or overriding any act or decision of the Individual Consultant, or requiring the Individual Consultant to implement a decision or recommendation with which the Individual Consultant disagrees or on which he expresses a serious reservation.

11. INSURANCE

- 11.1 The Individual Consultant shall ensure that full and appropriate professional indemnity insurance and third-party liability insurance, is in place for all Services provided.
- 11.2 Where national requirements or practices provide for different regulations/practices concerning insurance, the Individual Consultant may provide written confirmation of all insurances held and a signed declaration that these are in line with regulations/practices in their country of operation. Only if such confirmation has been provided, and written confirmation of its acceptance provided to the Individual Consultant by the Procuring Entity, will this remove the obligation to meet the requirements of clause 11.1 of this Contract in full.
- 11.3 All insurances effected by the Individual Consultant shall be effected with an insurer of good repute and the Individual Consultant agrees to maintain such insurances for a period of 1 year from the completion of the Services under this

Contract so long as such insurance continues to be available upon reasonable terms at reasonable commercial rates failing which the Procuring Entity shall be entitled to take out insurance itself to cover any potential liability to its own Procuring Entity in relation to the performance of the Services under this Contract. The cost of such insurance shall be a debt immediately due from the Individual Consultant.

- 11.4 The provisions of this clause shall remain in full force and effect notwithstanding the completion of the performance of the Services hereunder and the satisfaction of all other provisions of this Contract.

12. COPYRIGHT

- 12.1 Unless otherwise specified in the Contract, the title of the copyright and any other intellectual property rights arising out of the performance of this Contract shall be vested in the Procuring Entity which shall have the unfettered right to assign and grant sub-licences in respect of the same. Except as permitted by the terms of this Contract, the said materials shall not be reproduced or disseminated without proper consultation with, and written permission from, the Procuring Entity. This provision shall apply to the title to rights arising from the performance under this Contract but shall not apply to the internal systems or rights in relation to the Individual Consultant's own systems not created specifically for this purpose and where the same are an important part of the Services. The Individual Consultant shall grant a free and irrevocable licence to the Procuring Entity and its assigns for the use of the same in that connection.

- 12.2 The Individual Consultant warrants that it is free of any duties or obligations to third parties which may conflict with this Contract and, without prejudice to the generality of clause 12.1 above, agrees to indemnify the Procuring Entity against any and all actions, costs damages, direct, indirect or consequential, and other expenses of any nature whatsoever which the Procuring Entity may incur or suffer as a result of the breach by the Individual Consultant of this warranty.

- 12.3 The Individual Consultant warrants that it is free of any duties or obligations to third parties which may conflict with this Contract and, without prejudice to the generality of clause 12.1 above, agrees to indemnify the Procuring Entity against any and all actions, costs damages, direct, indirect or consequential, and other expenses of any nature whatsoever which the Procuring Entity may incur or suffer as a result of the breach by the Individual Consultant of this warranty.

13. LIABILITY FOR PERSONAL DATA BREACH

- 13.1 The Individual Consultant shall indemnify or hold harmless, the Procuring Entity, from and against all loss, costs, harm, claims, fines, group actions, liabilities, damages, expenses (including legal fees) suffered or incurred by the Procuring Entity or for which the Procuring Entity may become liable due to

any failure by the Individual Consultant to lawfully process Personal Data under the Contract.

13.2 The aggregate liability of the Contractor in respect of the indemnity set out in Paragraph 13.1 above shall in no event exceed the total Contract Price.

13.3 The Contractor shall adhere to data protection requirements as set in this Contract.

13.3.1 Processing of Personal Data

1. References to the term Personal Data shall only apply to Personal Data processed in the course of the performance of the obligations imposed on the Individual Consultant pursuant to or under the Contract.

2. The Individual Consultant shall:

(a) process Personal Data provided by the Procuring Entity for fulfilling specific obligations and instructions from the Procuring Entity as set out in the Contract;

(b) comply with all Applicable Data Protection Laws when Processing Personal Data.

(c) not utilize Personal Data transferred to it by the Contracting Authority for any other purpose than provided in the Contract; and

(d) keep the Personal Data confidential and not disclose it to third parties or in any other way use the Personal Data in contravention of the provisions of the Contract; and ensure that any of its personnel, agent, or sub-contractor who may have access to the Personal Data, commit themselves to confidentiality of the Personal Data processed under the Contract unless they are under an appropriate statutory obligation of confidentiality.

13.3.2 Data Subject Rights

1. The Individual Consultant shall assist the Procuring Entity by implementing appropriate technical and organisational measures for the fulfilment of the Procuring Entity's obligations to respond to requests by Data Subjects in respect of Personal Data.

2. The Contractor shall:

(a) promptly notify the Procuring Entity if it receives a request from a Data Subject in respect of the Personal Data;

- (b) ensure that it does not respond to any request except on the documented instructions of the Procuring Entity.
- (c) promptly notify the Procuring Entity if it receives any communication from any Supervisory or Regulatory Authority in connection with the Personal Data; and
- (d) promptly notify the Contracting Authority if it receives a request from any third party for disclosure of Personal Data where compliance with such request is required or purported to be required by law.

13.3.3 Transfer of Personal Data

1. The Individual Consultant shall not transfer or authorize the transfer of Personal Data outside the country of the Procuring Entity without prior written authorisation of the Procuring Entity.
2. Subject to Clause 13.3.3.1 above, Personal Data may only be transferred to a jurisdiction or international organisation that ensures adequate level of protection. If Personal Data processed under the Contract is transferred outside of the country of the Procuring Entity, the Individual Consultant as Data Processor shall ensure that there are appropriate safeguards to protect the Personal Data.
3. The Individual Consultant shall ensure the following before transferring Personal Data:
 - (a) the party receiving the Personal Data will apply a protection level equivalent to or higher than the measures set out in the Applicable Data Protection Laws; the party receiving the Personal Data has appropriate safeguards if the third country does not provide adequate level of protection; processing of Personal Data by the party receiving it is restricted to the purpose authorised by the Procuring Entity;
 - (b) and the transfer of Personal Data is compatible with the reasonable expectations of the Data Subject.

13.3.4 Information Security

- 13.3.4.1 The Procuring Entity must implement all appropriate technical and organisational measures necessary to ensure a level of security as required under the SADC Protection of Personal Data Policy and Applicable Law.
- 13.3.4.2 The Individual Consultant undertakes to inform the Contracting Authority of the technical and organisational measures it will implement to protect the Personal Data processed on behalf of the Procuring Entity.

13.3.4.3 The Individual Consultant must inform the Contracting Authority of any changes that could affect the protection of Personal Data before implementing such changes.

13.3.5 **Personal Data Breach**

13.3.5.1 The Individual Consultant must immediately notify the Procuring Entity of any security compromise or data breach which involves Personal Data.

13.3.5.2 The Personal Data breach notification from the Individual Consultant must provide sufficient information to allow the Procuring Entity to meet any obligations or to report or inform the affected Data Subjects.

13.3.5.3 The notification must provide the following information: a description of the nature of the data breach; a list of Data Subjects affected; and the security measures implemented or to be implemented to address the data breach. The Individual Consultant shall cooperate with the Procuring Entity and take reasonable steps as directed by the Procuring Entity to assist the investigation, mitigation, and remediation of such Personal Data breach.

13.3.6 **Records**

13.3.6.1 The Individual Consultant shall maintain complete, accurate and up-to-date written records of all Data Processing carried out under or in connection with the Contract.

13.3.6.2 The records maintained by the Individual Consultant shall contain the following information: the name and contact details of the Procuring Entity's representative or the Data Protection Officer, if any; the categories of Data Processing carried out on behalf of the Procuring Entity; where applicable, details of any transfers of Personal Data, including the identity of the recipient of such transferred Personal Data and the countries to which such Personal Data is transferred together with details of the appropriate safeguards put in place; and a general description of the security measures implemented by the Individual Consultant.

13.3.7 **Sub-Processing**

The Individual Consultant shall ensure that any Sub-Contractors processing Personal Data shall do so lawfully and in line with this Clause, where applicable.

13.3.8 **Deletion or Return of Personal Data**

- 13.3.8.1 Upon the expiration of the Contract, or termination of the Contract, the Individual Consultant shall immediately cease processing Personal Data under its possession or control.
- 13.3.8.2 Within 10 (ten) days following the date of expiration or termination of the Contract, the Individual Consultant shall, at the written direction of the Procuring Entity, securely return or delete Personal Data including any copies of it.
- 13.3.8.3 The Individual Consultant shall provide the Procuring Entity with written certification that it has fully complied with the provisions of this Clause.
- 13.3.8.4 If the Individual Consultant is required by law to retain the Personal Data, the Individual Consultant shall advise the Procuring Entity accordingly.

14 SUSPENSION OR TERMINATION

- 14.1 In response to any factors out of the control of Procuring Entity and/or to breaches of contract by the Consultant, the Procuring Entity may at any time, by giving 30 days' notice in writing, terminate in whole or in part or suspend the Individual Consultant's performance of the Services. In such event, the Individual Consultant shall be entitled to payment pursuant to sub-clause 14.4 below. If such suspension continues for a period in excess of twelve months, then either party may terminate this contract forthwith by written notice to the other.
- 14.2 The Individual Consultant may also terminate the contract unilaterally, without providing any reasons for such decision, if (s) he gives a 30 days' prior written notice to the Project Director.
- 14.3 In the event of early termination of the Contract under sub-clauses 14.1, 14.2 and 14.3 of this clause, the Individual Consultant shall be entitled to a fair and reasonable proportion of the fees payable for that part of the Services carried out up to the date of such termination or suspension but this shall not include any loss of profit or contracts or any other expenses, losses or claims arising out of such termination or suspension or consequential thereupon.
- 14.4 Either Party may terminate this Contract, by giving not less than 30 days' written notice to the other Party, if, as a result of Force Majeure, either Party is unable to perform a material portion of its obligation for a period exceeding 30 days.
- 14.5 Termination shall be without prejudice to the Procuring Entity's obligation to pay for the work satisfactorily completed, or all reasonable expenses incurred, by the Individual Consultant under this Contract prior to such termination.

15 NO WAIVER

No forbearance shown or granted to the Individual Consultant, unless in writing by an authorised officer of the Procuring Entity, shall in any way affect or prejudice the rights of the Procuring Entity or be taken as a waiver of any of these terms.

16 VARIATIONS

Any variation to these terms or the provisions of the Annexes shall be subject to a written addendum and be signed by duly authorised signatories on behalf of the Individual Consultant and the Procuring Entity respectively.

17 GOVERNING LAW

This Contract shall be governed by and shall be construed in accordance with Botswana laws.

18 SETTLEMENT OF DISPUTES

- 18.1 The Parties shall use all their best efforts to settle all disputes arising out of, or in connection with, this Contract or its interpretation amicably.
- 18.2 In the event that, through negotiation, the Parties fail to solve a dispute arising from the conclusion, interpretation, implementation or termination of this Agreement, the Parties shall settle the dispute by arbitration.
- 18.3 The arbitral tribunal shall consist of three arbitrators. Each Party to the dispute shall appoint one arbitrator. The two arbitrators so appointed shall appoint the third arbitrator, who shall be the Chairperson. If within fifteen (15) days of receipt of the request for arbitration either Party has not appointed an arbitrator, or within seven (7) days of the appointment of the arbitrators the third arbitrator has not been appointed, either Party may request an appointing authority agreed by the Parties to appoint an arbitrator.
- 18.4 If no appointing authority has been agreed upon by the Parties, or if the appointing authority agreed upon refuses to act or fails to appoint the arbitrator within thirty (30) days of the receipt of a Party's request therefor, either Party may request the Chairperson of the Law Society of Botswana, to appoint the third arbitrator.
- 18.5 The appointing authority shall, at the request of one of the Parties, appoint the sole arbitrator as promptly as possible.

- 18.6 The procedure of arbitration shall be fixed by the arbitral tribunal, which shall have full power to settle all questions of procedure in any case of disagreement with respect thereto.
- 18.7 The decisions of the arbitral tribunal shall be final and binding upon the Parties.
- 18.8 The arbitration shall take place in Botswana and substantive law of Botswana shall apply.

19. PRIVILEGES AND IMMUNITIES

Nothing in or relating to this Contract shall be deemed as a waiver, express or implied, of any of the privileges and immunities of SADC Secretariat.

20. ENTIRE AGREEMENT

This Contract and any annexes hereto shall constitute the entire agreement between the Parties and supersedes all prior agreements, understandings, negotiations and discussions, whether oral or written. There are no conditions, understandings or other agreements, oral or written, express, implied or collateral between the Parties in connection with the subject matter of this Contract except as specifically set forth in this Contract and any attachments hereto.

The following Annexes are integral part of this Contract:

Annex 1: Terms of Reference

Annex 2: Payment Schedule and Requirements

Signed in the English language by:

| For the Procuring Entity | | For the Individual Consultant | |
|--------------------------|---|-------------------------------|--|
| Name: | Mrs Angele Makombo N'tumba | Name : | |
| Position: | Deputy Executive Secretary- Regional Integration | | |
| Place: | Gaborone | Place: | |
| Date: | | Date: | |
| Signature: | | Signature: | |

Annex 2: Payment Schedule and Requirements

3. For Services rendered pursuant to Annex 1, the Procuring Entity shall pay the Individual Consultant an amount not to exceed the ceiling of US Dollars *[insert amount]*, which shall be considered the contract value. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits as well as any tax obligation that may be imposed on the Individual Consultant in his/her country of residence.

4. The breakdown of prices is:

| N° | Description ¹ | Total (in US\$) |
|---|--------------------------|--------------------|
| TOTAL FINANCIAL OFFER (All-inclusive lump sum) | | |

5. The payment shall be made in accordance with the following schedule:

- a) 20% of the contract price shall be paid upon submission of an acceptable Inception report;
- b) 40% of the contract price shall be paid upon submission of an acceptable draft report.
- c) 40% of the contract price shall be paid upon submission of an acceptable final report and upon approval by the Management.

4. **Payment Conditions:** Payment shall be made in US Dollars not later than 30 days following submission of original invoice by the Individual Consultant, in duplicate, accompanied by the requested supporting documents. All payments under the contract shall be made by bank transfer into the bank account indicated by the Individual Consultant in her/his invoices.