



REQUEST FOR EXPRESSION OF INTEREST

SELECTION OF INDIVIDUAL CONSULTANT

**FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and
AIDS SPECIAL FUND**

REFERENCE NUMBER: SADC/3/5/2/107

16th June 2020

1. **The SADC Secretariat is inviting Individual Consultants to submit their CV and Financial Proposal for the following services:**

“CONSULTANCY

FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND

”

The Terms of Reference defining the minimum technical requirements for these services are attached as Annex 1 to this Request for Expression of Interest.

2. **Only Individual Consultants are eligible for this assignment provided that they fulfil the following eligibility criteria:**

- a) they are not bankrupt or being wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from similar procedures provided for in the national legislation or regulations of the SADC member states;*
- b) they have not been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against which no appeal is possible);*
- c) they have not been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;*
- d) they have fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;*
- e) they have not been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat' financial interests;*
or
- f) they are not being currently subject to an administrative penalty.*

3. The maximum budget for this contract is US \$ **US\$30,000.00 inclusive of professional fees and reimbursable expenses.** Proposals exceeding this budget will not be accepted.

4. Your Expression of Interest must be presented as per Standard Expression of Interest Forms attached as Annex 2 to this REOI, in the English language and be accompanied by copies of all the indicated supporting documents. If the

supporting documents are not in English, these shall be accompanied by a certified translation into English.

5. Your proposal in a sealed envelope clearly marked “**REFERENCE NUMBER: SADC/3/5/2/107 CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND**”**should** be submitted in the tender box located at the following address:

*Secretary to the Tender Committee
SADC Secretariat
Plot 54385 CBD
Private Bag 0095
Gaborone
Botswana*

6. The deadline for submission of your proposal, to the address indicated in Paragraph 5 above, is **Tuesday , 7th July 2020 at 15:00hours local time**
7. Proposals submitted by E-mail **are** acceptable and should be submitted to hivfund@sadc.int by the deadline in Para 6 above
8. Your CV will be evaluated against the following criteria.

Category	Points
Qualifications and skills	20
General professional experience	30
Specific professional experience	50
Total	100

9. Your proposal should be submitted as per the following instructions and in accordance with the Terms and Conditions of the Standard Contract attached as Annex 3 to this REOI:

(i) **PRICES:**

The financial proposal shall be inclusive of all expenses deemed necessary by the Individual Consultant for the performance of the contract.

(ii) **EVALUATION AND AWARD OF THE CONTRACT:**

Expressions of Interest determined to be formally compliant to the requirements will be further evaluated technically.

An Expression of Interest is considered compliant to the requirements if:

- It fulfils the formal requirements (see Paragraphs 2,3,4,5,6, 7, 8 and 9 above),
- The financial proposal (professional fees) does not exceed the maximum available budget for the contract as indicated under Para 3.

The award will be made to the applicant who obtained the highest technical score and with the financial offer (professional fees) within the budget as indicated under Para 3. Expressions of Interest not obtaining a minimum technical score of 70% will be rejected.

Expressions of Interest determined to be formal and technically compliant to the requirement will be evaluated by comparison of their Technical and Financial proposal/prices. An Expression of Interest is considered compliant to the requirements if it fulfils the formal requirements (see Paragraphs 2, 3,4,5,6 and 7 above), and has received a minimum 70% of the technical scores at the technical evaluation.

The lowest Financial Proposal (F_m) will be given a financial score (S_f) of 100 points. The financial scores (S_f) of the other Financial Proposals will be computed as indicated in the Data Sheet. Proposals will be ranked according to their combined technical (S_t) and financial (S_f) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; $T + P = 1$). The formula used to determine the proposal achieving the highest combined technical and financial scores; $S = S_t \times T\% + S_f \times P\%$. The firm achieving the highest combined technical and financial score will be awarded the contract

(iii) VALIDITY OF THE EXPRESSION OF INTEREST:

Your Expression of Interest should be valid for a period of 90 days from the date of deadline for submission indicated in Paragraph 6 above.

10. The assignment is expected to commence within **one (1) week** from the signature of the contract.

11. Additional requests for information and clarifications can be made until 10 calendar days prior to deadline indicated in the paragraph 6 above, from:

The Procuring entity: **SADC Secretariat**

Contact person: Dr. Lamboly GN Kumboneki

Telephone: **+267 364 1989 / 3951863**

Fax: **3972848**

E-mail: tenders@sadc.int and tlengoasa@sadc.int
vchingalawa@sadc.int copy lkumboneki@sadc.int

The answer on the questions received will be sent to the Consultant and all questions received as well as the answer(s) to them will be posted on the SADC Secretariat's website at the latest 7 calendar days before the deadline for submission of the proposals.

ANNEXES:

ANNEX 1: **Terms of Reference**

ANNEX 2: **Expression of Interest Forms**

ANNEX 3: **Standard Contract for Individual Consultants**

Sincerely,

Name: Veronica Zulu Chingalawa

Title: *Head of Procurement Unit*

ANNEX 1: TERMS OF REFERENCE



TERMS OF REFERENCE FOR THE REVISION OF THE FRAMEWORK OF THE OPERATIONALISATION OF THE SADC HIV AND AIDS SPECIAL FUND

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BACKGROUND INFORMATION

Partner country and Procuring Entity

The primary beneficiary for the consultancy is SADC Member States. The Procuring Entity is the SADC Secretariat.

Contracting authority

The Contracting Authority is SADC Secretariat.

Regional background

HIV and AIDS remains a problem of high public health importance in the SADC region. While the region accounts for less than 5% of the world population; more than 40% of People living with HIV(PLHIV) are SADC citizens. Despite tremendous progresses realized in the last 2 decades; both at country and regional level; New HIV infection remains unacceptably high and the important death toll hampers greatly the development and the progress of the region.

In 2003, Heads of States and Governments through the Maseru Declaration demonstrated their commitments in fighting the HIV and AIDS pandemic together. They also committed to support the region by establishing the SADC HIV and AIDs Special Fund with the aim to support HIV and AIDS projects of regional importance.

Since its establishment ,the HIV and AIDS Special Fund has funded 23 projects of Regional importance and served to bridging funding gaps after the end of the Cross Border Initiative. The fund remains critical in the regional HIV and AIDS response and impacted positively in the life of millions of SADC Citizens. As such and in line with the decision of SADC Ministers of Health and Ministers responsible for HIV and AIDS during their last joint meeting in November 2019,in Dar Es Salaam, it is important to revise the framework of the operationalization of the HIV and AIDS Special Fund to address the current challenges and priorities in the fight against HIV and AIDS and strengthen Member States ownership and accountability. This consultancy seeks the service of of a consultant to revise the Framework of Operationalization of the HIV and AIDS Special Fund.

Current situation in the sector

During the last two decades; Member States with the support of various International Cooperating Partners invested in the fight against HIV. In most member States, important milestones have been achieved. New HIV infection have been reduced by one third while AIDS related death have been halved. However, several gaps persist; vulnerable and key populations are still left behind and at a regional level several initiatives are underway to complete national effort and ensure an HIV and AIDS free generation. The HIV and AIDS Special Fund remain

The SADC HIV and AIDS Special Fund remains critical in addressing regional gaps in the HIV and AIDS response. As such in line with the aforementioned Ministerial Decision and with the progress made in the fight against HIV and AIDS; it is important to revise the Framework and align it with the current priorities as well as to enhance member States ownership.

Related programmes and other donor activities

This exercise forms part of the SADC support towards ending the HIV and AIDS by 2030.

OBJECTIVE, PURPOSE & EXPECTED RESULTS

Overall objective

The overall objective of the consultancy is to revise the framework for the operationalisation of the HIV and AIDS Special Fund.

Purpose

The purpose of this consultancy is to:

- Revise the Framework for the operationalization of the SADC HIV and AIDS Special Fund that will enhance Member States involvement and strengthen accountability.
- Document best practices and develop a compendium on the implementation of the HIV and AIDS Special Fund Round 1 and Round 2.

Results to be achieved by the contractor

- Framework for the operationalization of the SADC HIV and AIDS Fund revised;
- A compendium on the implementation of the HIV and AIDS Special Fund Round 1 and Round 2 developed

ASSUMPTIONS & RISKS

Assumptions underlying the project

- Consultant will keep to deadlines/schedules and submit outputs timeously.
- Consultant will conduct a thorough review of the existing framework and produce framework which is updated and in line with the current priorities in the fight against HIV and AIDS.
- Consultant will develop a compendium on the implementation of the HIV and AIDS Special Fund Round 1 and Round 2

Risks

- Consultant produce a bulky Framework which does not address regional priorities and Member States' needs.

SCOPE OF THE WORK

General

Description of the assignment

This assignment aims at revising the Framework for the operationalisation of the HIV and AIDS Special Fund. The revised framework will provide guidance to the SADC Secretariat for operationalization of the HIV and AIDS Special Fund and will enhance Member States participation and accountability.

The process of revising the framework will benefit from the existing framework and Member States views and opinions on the current procedure taking into consideration the current state of the epidemic at global, continental and regional levels.

The consultant is expected to do a desk review of all relevant literature, continentally and regionally. The reference materials to be used by the consultant will be vetted by the Secretariat. Based on the review, the consultant is expected to develop a revised framework. The consultant is expected to travel to selected SADC Member States and expected to engage with key Stakeholders physically and virtually.

The draft Revised Framework will be validated by Member States, during a regional meeting, to be convened by the SADC Secretariat. Inputs and comments from the meeting will lead to the finalisation of the Draft Framework for the operationalisation of the HIV and AIDS Special Fund.

Geographical area to be covered

The consultancy will revise the framework informed by existing literatures, SADC experience on Round 1 and Round 2.

Target groups

In revising the SADC Framework for the operationalisation of the HIV and AIDS Special Fund, the consultant will work with the SADC Secretariat HIV and AIDS Unit; NACs and Ministry of Health Focal Persons in Member States; selected institutions and partners and stakeholders who benefited from the Fund.

Specific work

The SADC Secretariat seeks to recruit a consultant to review the Framework for the operationalisation of the HIV and AIDS Special Fund. Specifically, the consultant will:

- Review and develop a Framework for the operationalisation of the HIV and AIDS Special Fund that is informed by existing and document best practices from the round 1 and round 2 and Member States' recommendations;
- Facilitate a regional workshop to validate the draft Framework for the operationalisation of the HIV and AIDS Special Fund and consolidate and incorporate inputs from member States and stakeholders and
- Produce the Final Framework for the operationalisation of the HIV and AIDS Special Fund and the final Consultancy Report.

Project management

Responsible body

The Consultancy will be managed by the HIV and AIDS Unit and there will be continuous engagement for the duration of the exercise.

Management structure

The Consultant shall report to, and perform the assigned tasks under the guidance and direct supervision of the HIV and AIDS Unit, especially Head of the Unit, at the SADC Secretariat. The inception report will be discussed and agreed with the SADC Secretariat prior to the commencement of the assignment. The HIV and AIDS Unit will facilitate the consultant's contact with key stakeholders and SADC Member States for consultations. The consultancy deliverables will be shared and discussed with the technical team for review and inputs. The final deliverables will be approved by the HIV and AIDS Unit.

Facilities to be provided by the contracting authority and/or other parties

The Consultant is expected to travel to Gaborone, Botswana after signature of the Contract for the inception meeting with the HIV and AIDS Unit and the Technical Working Group and to attend the Validation Workshop in Johannesburg, South Africa. The SADC Secretariat will cover the consultant's travel costs related to the air tickets and per diem to attend these two meetings.

Costs related to the planning of the validation workshop (Member States' tickets and per diem; conference package, translation and interpretation) will be borne by the SADC Secretariat.

LOGISTICS AND TIMING

Location

This assignment is 'home-based' for the consultant, with travels expected to Gaborone, Botswana for the Inception Meeting, to selected Member States for data collection (the consultant shall select specific Member States to travel to for data collection and this shall be a representative sample while he is also expected to engage virtually with the other Member States) and to Johannesburg, South Africa for the Validation Workshop.

Start date & period of implementation of tasks

The intended start date is July 2020 and the period of implementation of the contract will be 2 months from this date. Please see Articles 2.1, 2.3 and 2.4 of the special conditions for the actual start date and period of implementation.

REQUIREMENTS

Staff

Note that civil servants and other staff of the public administration of the partner country, or of international/regional organisations based in the country, shall only be approved to work as experts if well justified. The justification should be submitted with the tender and shall include information on the added value the expert will bring as well as proof that the expert is seconded or on personal leave.

Key experts

Key expert: HIV and AIDS curriculum development expert

Qualifications and skills

- Masters qualification in Public Health, Social Science, Development Studies, social work or any other related discipline;
- Excellent organizational and planning skills;
- Ability to research, analyse and synthesise information
- Sound knowledge of global and regional HIV epidemic, sustainable financing;
- Ability to meet deadlines and work well under pressure;
- Fluency in spoken and written English. Knowledge of Portuguese and French will be an added advantage.
- Excellent report writing, communication and workshop facilitation skills; and
- MS Office computer package proficiency.

General professional experience

- At least ten (10) years professional experience and knowledge on HIV and AIDS epidemic and issue related to 90-90-90 and sustainability of the HIV and AIDS response.
- Traceable experience and knowledge in the development of framework and policy documents.

Specific professional experience

- At least 5 years of experience in the development of HIV programmes and related capacity building activities;
- Proven track record in training on HIV and AIDS and related programmes
- Experience in development of training policy, frameworks; and

The expert must be independent and free from conflicts of interest in the responsibilities they take on.

Other experts, support staff & backstopping

The costs for backstopping and support staff, as needed, are considered to be included in the tenderer's financial offer.

Office accommodation

Office accommodation for each expert working on the contract is to be provided by the contractor.

Facilities to be provided by the contractor

The contractor shall ensure that experts are adequately supported and equipped. In particular it must ensure that there is sufficient administrative, secretarial and interpreting provision to enable experts to concentrate on their primary responsibilities. It must also transfer funds as necessary to support their work under the contract and to ensure that its employees are paid regularly and in a timely fashion.

Equipment

No equipment is to be purchased on behalf of the contracting authority / partner country as part of this service contract or transferred to the contracting authority / partner country at the end of this contract. Any equipment related to this contract which is to be acquired by the partner country must be purchased by means of a separate supply tender procedure.

REPORTS

Reporting requirements

The contractor will submit the following reports in English in one original copy:

- **Inception Report** of maximum 12 pages to be produced after one week from the start of implementation. In the report the contractor shall describe e.g. methodology, initial findings, progress in collecting data, any difficulties encountered or expected in addition to the work programme and staff travel. The contractor should proceed with his/her work unless the contracting authority sends comments on the inception report.
- **Draft final Revised Framework for the operationalisation of the HIV and AIDS Special Fund** in the Word format. This report shall be submitted with a PowerPoint presentation before the Validation Workshop, no later than one month before the validation workshop.
- **Final Revised Framework for the operationalisation of the HIV and AIDS Special Fund** with the same specifications as the draft final report, incorporating comments received from the Member States and partners during the validation process. The deadline for sending the final report is 5 days after receipt of comments on the draft final report.
- **Final Consultancy Report** detailing the consultancy and validation processes, inputs from partners and stakeholders and recommendations on the consultancy process. The deadline for sending the final report is 10 days after receipt of comments on the draft final report. The final report must be submitted along with the corresponding invoice.

Submission and approval of reports

The SADC **Revised Framework for the operationalisation of the HIV and AIDS Special Fund** referred to above must be submitted to the project manager identified in the contract. The project manager is responsible for approving the deliverables mentioned in 7.1.

MONITORING AND EVALUATION

Definition of indicators

The following are the key indicators for the successful completion of this assignment:

- Inception meeting between SADC Secretariat and the Consultancy team.
- Submission of Final Inception Report.
- Validation of the Draft **Revised Framework for the operationalisation of the HIV and AIDS Special Fund**.
- Submission of Final **Revised Framework for the operationalisation of the HIV and AIDS Special Fund**.
- Submission of Final Consultancy Report.

Special requirements

Deliverables
Final inception report detailing the methodology/ conceptual framework to be employed. This will be finalised based on inputs from the inception meeting to be held at SADC Secretariat
Revised Framework for the operationalisation of the HIV and AIDS Special Fund
Documentation for Validation Workshop (preparations for regional workshop)
Facilitation and rapporteuring (workshop to be convened by SADC Secretariat)
Final: <ul style="list-style-type: none"> i. Revised Framework for the operationalisation of the HIV and AIDS Special Fund ii. Consultancy Report

ATTACHMENTS

Annex 1: Selection Criteria:

Eligible consultants are requested to submit, their application, outlining similar or related tasks, undertaken either in their individual capacities or as members of a team. The bid evaluation criteria for the technical proposal will be as follows:

Category	Points
Qualifications and skills	20
General professional experience	30
Specific professional experience	50
Total	100

Annex 2: Maximum Budget

The maximum budget for this consultancy is **U\$30,000**

ANNEX 2: Expression of Interest Forms

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A. COVER LETTER FOR THE EXPRESSION OF INTEREST FOR THE PROJECT

REFERENCE NUMBER: **SADC/3/5/2/107**

CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND

Gaborone, 16th June 2020

To: SADC Secretariat

Dear Sirs:

I, the undersigned, offer to provide the consulting services for the “**CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND**” in accordance with your Request for Expression of Interests number **SADC/3/5/2/107**, dated 16th June 2020 for the sum of US\$30,000.00 [Thirty Thousand Only]. This amount is inclusive of all expenses deemed necessary for the performance of the contract in accordance with the Terms of Reference requirements, and *does* include any of the following taxes in Procuring Entity’s country: value added tax and social charges or/and income taxes on fees and benefits.

I hereby declare that all the information and statements made in my CV are true and accept that any misinterpretation contained in it may lead to my disqualification.

I take note that under the provisions of the SADC Procurement Policy applicable to this Request For Expression of Interest, a contract cannot be awarded to applicants who are in any of the following situations:

- a) *they are being bankrupt or wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedures provided for in the national legislation or regulations of the SADC member states;*
- b) *they have been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against which no appeal is possible);*
- c) *they have been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;*
- d) *they have not fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;*
- e) *they have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat’ financial interests; or*
- f) *they are being currently subject to an administrative penalty.*

I confirm that I am not in any of the situations described above, and I hereby declare that at any point in time, at the SADC Secretariat’s request, I will provide certified copies of documents to prove so.

I am aware that the penalties set out in the Procurement Policy may be applied in the case of a false declaration, should the contract be awarded to me.

My proposal is binding upon me for the period indicated in Paragraph 9(iii) of this Request for Expression of Interest.

I undertake, if my Proposal is accepted, to initiate the consulting services related to the assignment not later than the date indicated in Paragraph 10 of the Request for Expression of Interest, and to be available for the entire duration of the contract as specified in the Terms of Reference.

I understand you are not bound to accept any Proposal you receive.

Yours sincerely,

Signature [*In full and initials*]: _____

Name and Title of Signatory: _____

B. CURRICULUM VITAE
[insert full name]

- 1. **Family name:** *[insert the name]*
- 2. **First names:** *[insert the names in full]*
- 3. **Date of birth:** *[insert the date]*
- 4. **Nationality:** *[insert the country or countries of citizenship]*

- 5. **Physical address:** *[insert the physical address]*
- 6. **Postal address**
- 7. **Phone:** *[Insert Postal Address]*
- 8. **E-mail:** *[insert the phone and mobile no.]*
[Insert E-mail address(es)]

- 9. **Education:**

Institution: [Date from – Date to]	Degree(s) or Diploma(s) obtained:
<i>[indicate the month and the year]</i>	<i>[insert the name of the diploma and the specialty/major]</i>
<i>[indicate the month and the year]</i>	<i>[insert the name of the diploma and the specialty/major]</i>

10. Language skills: (Indicate competence on a scale of 1 to 5) (1 – excellent; 5 – basic)

Language	Reading	Speaking	Writing
<i>[insert the language]</i>	<i>[insert the no.]</i>	<i>[insert the no.]</i>	<i>[insert the no.]</i>
<i>[insert the no.]</i>	<i>[insert the no.]</i>	<i>[insert the no.]</i>	<i>[insert the no.]</i>

- 11. **Membership of professional bodies:** *[indicate the name of the professional body]*
- 12. **Other skills:** *[insert the skills]*
- 13. **Present position:** *[insert the name]*
- 14. **Years of experience:** *[insert the no.]*
- 15. **Key qualifications:** (Relevant to the assignment)
[insert the key qualifications]

16. Specific experience in the region:

Country	Date from - Date to
<i>[insert the country]</i>	<i>[indicate the month and the year]</i>
.....
<i>[insert the country]</i>	<i>[indicate the month and the year]</i>

17. Professional experience:

Date from – Date to	Location of the assignment	Company & reference person (name & contact details)	Position	Description
<i>[indicate the month and the year]</i>	<i>[indicate the country and the city]</i>	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	<i>[indicate the exact name and title and if it was a short term or a long term position]</i>	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:
<i>[indicate the month and the year]</i>	<i>[indicate the country and the city]</i>	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	<i>[indicate the exact name and title and if it was a short term or a long term position]</i>	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:
<i>[indicate the month and the year]</i>	<i>[indicate the country and the city]</i>	Name of the Company: Address of the company: Phone:	<i>[indicate the exact name and title and if it was a</i>	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:

Date from – Date to	Location of the assignment	Company & reference person (name & contact details)	Position	Description
		Fax: Email: Name and title of the reference person from the company:	<i>short term or a long term position]</i>	
.....
<i>[indicate the month and the year]</i>	<i>[indicate the country and the city]</i>	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	<i>[indicate the exact name and title and if it was a short term or a long term position]</i>	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:

18. Other relevant information: (e.g. Publications)

[insert the details]

19. Statement:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

I hereby declare that at any point in time, at the SADC Secretariat's request, I will provide certified copies of all documents to prove that I have the qualifications and the professional experience as indicated in points 8 and 14 above¹, documents which are attached to this CV as photocopies.

By signing this statement, I also authorize the SADC Secretariat to contact my previous or current employers indicated at point 14 above, to obtain directly reference about my professional conduct and achievements.

_____ Date: _____

ATTACHMENTS: **1) Proof of qualifications indicated at point 9**
2) Proof of working experience indicated at point 15

¹ *The proof of stated qualifications shall be in the form of the copies of the degrees and diploma obtained, while for the professional experience the proof shall be either acknowledgement letters from the previous employers or copies of the Purchase Order/ Contract signed with them.*

C. FINANCIAL PROPOSAL

REQUEST FOR SERVICES TITLE: CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND

REFERENCE NUMBER: SADC/3/5/2/107

N°	Description¹	Unit²	No. of Units	Unit Cost (in US\$)	Total (in US\$)
Fees		Day			
Reimbursable expenses, out of which		Total			
1	Per diem allowances	Day	N/A		
2	Flights ³	Trip	N/A		
3	Miscellaneous travel expenses ⁴	Trip			
4	Insurances cost, out of which:	Lump sum			
	i) Life insurance (including repatriation)	Lump sum	N/A		
	ii) Heath insurance	Lump sum	N/A		
	iii) Third party liability insurance	Lump sum			
	iv) Professional liability insurance	Lump sum	N/A		
5	Drafting, reproduction of reports	Lump sum			
6	Office rent	Per month	N/A		
7	Others ⁴	TBD			
TOTAL FINANCIAL OFFER (Fees + Reimbursable expenses)					

Signature [*In full and initials*]: _____

¹ Delete items that are not applicable or add other items as the case may be.

² Indicate unit cost..

³ Indicate route of each flight, and if the trip is one- or two-ways

⁴ Provide clear description of what is their exact nature

Name and Title of Signatory: _____

ANNEX 3: STANDARD CONTRACT FOR INDIVIDUAL CONSULTANTS

STANDARD TERMS OF CONTRACT

(Individual Consultant)

REFERENCE NUMBER: SADC/3/5/2/107 CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND

THIS Contract ("Contract") is made on *[day]* day of the month of *[month]*, *[year]*, between, **on the one hand,**

The SADC Secretariat (hereinafter called the "Procuring Entity") with the registered business in: *Plot 54385 CBD, Private Bag 0095, Gaborone, Botswana*

and, on the other hand,

[Insert the full name of the individual] (Hereinafter called the "Individual Consultant"), with residence in ***[insert the Individual Consultant' address, phone, fax, email]***, citizen of ***[insert the Individual Consultant's citizenship]*** owner of the ID/Passport Number ***[insert the number]*** issued on ***[insert the date]*** by ***[insert the name of the issuance authority]***,

WHEREAS, the Procuring Entity wishes to have the Individual Consultant perform the services hereinafter referred to, and WHEREAS, the Individual Consultant is willing to perform these services,

NOW THEREFORE THE PARTIES hereby agree as follows:

1. Definitions

For the purpose of this contract the following definitions shall be used:

- 1.1 **Procuring Entity** means the legally entity, namely ***the SADC Secretariat*** who purchase the Services described in Annex 1 to this contract.
- 1.2 **Contract** means the agreement covered by these Terms including the Annexes and documents incorporated and/or referred to therein, and attachments thereto.

Contract value means the total price of the Financial Proposal included in the Individual Consultant's Expression of Interests dated ***[insert the date]*** for the project **SADC/3/5/2/107 – CONSULTANCY FOR THE REVIEW THE FRAMEWORK FOR THE OPETATIONALISATION OF HIV and AIDS SPECIAL FUND** and reflected as such in the Annex 2 of this contract.

Individual Consultant means the individual to whom the Procuring Entity has awarded this contract following the Request for Expression of Interest

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1.3 **Services** means the Services to be performed by the Individual Consultant as more particularly described in Annex 1; for the avoidance of doubt, the Services to be performed include all obligations referred to in this Contract (as defined above).

2. The Services

The Individual Consultant will undertake the performance of the Services in accordance with the provisions of the Annex 1 of this Contract and shall, in the performance of the Services, exercise all the reasonable skill, care and diligence to be expected of an Individual Consultant carrying out such services.

3. Payment

3.1 The Individual Consultant shall be paid for the Services at the rates and upon the terms set out in Annex 2.

3.2 Payment shall be made to the Individual Consultant in US \$ unless otherwise provided by this contract and where applicable, VAT shall be payable on such sums at the applicable rate. The Individual Consultant must, in all cases, provide their VAT registration number on all invoices.

3.3 Unless otherwise provided in this Contract, invoices shall be delivered to and made out to Procuring Entity and shall be paid within 30 days of receipt by the Project Director, subject to the Individual Consultant having complied with his/her obligations hereunder in full as stated in the Annex II to this Contract. The Procuring Entity reserves the right to delay and/or withhold, fully or partially, payments that have not been supported by full and appropriate supporting evidence that the services provided were delivered and accepted by the Procuring Entity.

4. Status of the Individual Consultant

4.1 For the duration of the Contract, the Individual Consultant will have a status similar to the Procuring Entity's contractor with regards to their legal obligations, privileges and indemnities in the Procuring Entity's country.

4.2 The Procuring Entity will be responsible for ensuring all visas, work permits and other legal requirements to enable The Individual Consultant to live and work in the countries of the assignment as per the duties under the contract.

4.3 The Individual Consultant shall be responsible for paying any tax and social security contributions in his/her country of residence, for any activity deriving from this contract. Such costs shall be assumed included in the Individual Consultant's fees.

4.4 The Procuring Entity shall be responsible for paying any taxes resulting from the activities performed under this contract imposed to the Individual in the country(ies) of the assignment with the exception of the ones set out in paragraph 4.3 above.

5. Supervision of the Services

The Individual Consultant undertakes to deliver the Services in compliance with a system of quality assurance acceptable to the Procuring Entity which shall include any steps to comply with the standards operated by the Procuring Entity. The Individual Consultant shall be informed of the specific requirements in relation to this, and at the request of the Procuring Entity (s)he shall allow access to information, records and other materials during normal office working hours as the Procuring Entity may require in order to confirm that the work in progress is in accordance with these quality procedures.

6. Compliance with this contract

The Procuring Entity will be entitled to seek confirmation from the Individual Consultant, at any time during the delivery of this contract, and for a period of 1 year after its completion, that the Individual Consultant has complied with the terms of this contract. It may also request the provision of reasonable documentary evidence to support this. As stated in article 2.3 of this Contract, the Procuring Entity may delay or withhold payments in the event of non-compliance.

7. Assignment and Subcontracting

7.1 The Individual Consultant shall under no circumstances sub-contract, sublet, assign or transfer the Contract or any part share or interest in it. Where the Individual Consultant considers it necessary to use the services of a third party, (s)he shall inform the Procuring Entity's Project Director in writing, and only once written approval is provided can the Consultant proceed to use a third party.

7.2 When the Project Director agrees that the activities under the contract can be performed by a third party, the third party involved in the delivery of services in this contract, will be under the direct control of the Individual Consultant. The Procuring Entity will not be responsible for the third party's performance of duties or Services assigned to it, and neither for ensuring that conditions of employment are met nor for any other employment obligations relating to that person including, but not restricted to, taxation and insurance including professional indemnity insurance, employer's liability insurance and public liability insurance.

8. Breach of the Terms

In the event of a breach of any Terms of the Contract, the party not in breach may serve a notice on the party in breach requiring the breach to be remedied within a period specified in the notice, not normally being longer than 30 days. If the breach has not been remedied before the expiry of the specified period, the party not in breach may then terminate the Contract in writing and may take appropriate steps to remedy the breach.

9. Liability of the Individual Consultant

9.1 The Procuring Entity will be relying on the Individual Consultant's skills, expertise and experience in relation to the performance of the Services in

accordance with this contract and also upon the accuracy of all representations and statements made and the advice given in connection with the provision of the Services.

- 9.2 In view of the reliance by the Procuring Entity set out in 9.1 above, the Individual Consultant agrees to indemnify at its own expense, protect and defend the Procuring Entity, its agents and employees, from and against all actions, claims, losses or damages arising out of the Individual Consultant's performance of this contract provided that:
- a) the Individual Consultant is notified of such actions, claims, losses or damages not later than 30 days after the Procuring Entity becomes aware of them;
 - b) the ceiling on the Individual Consultant's liability to the Procuring Entity shall be limited to an amount equal to the contract value but such ceiling shall not apply to any losses or damages caused to third parties by the Individual Consultant's willful misconduct; and
 - c) the Individual Consultant's liability shall be limited to actions, claims, losses or damages directly caused by such failure to perform its obligations under the contract and shall not include liability arising from unforeseeable occurrences incidental or indirectly consequential to such failure.
- 9.3 At its own expense, the Individual Consultant shall, upon request of the Procuring Entity, remedy any defect in the performance of the services in the event of the Individual Consultant's failure to perform its obligations under the contract.
- 9.4 The Individual Consultant shall have no liability whatsoever for actions, claims, losses or damages occasioned by the Procuring Entity omitting to act on any recommendation, or overriding any act or decision of the Individual Consultant, or requiring the Individual Consultant to implement a decision or recommendation with which the Individual Consultant disagrees or on which (s)he expresses a serious reservation.

10. Insurance

- 10.1 The Individual Consultant must ensure that full and appropriate professional indemnity insurance and third party liability insurance, is in place for all Services provided.
- 10.2 The cost of such insurances will be covered from reimbursable expenses of the contract.
- 10.3 Where national requirements or practices provide for different regulations/practices concerning insurance, the Individual Consultant may provide written confirmation of all insurances held and a signed declaration that these are in line with regulations/practices in their country of operation. Only if such confirmation has been provided, and written confirmation of its acceptance provided to the Individual Consultant by the Procuring Entity, will this remove the obligation to meet the requirements of clause 11.1 of this Contract in full.
- 10.4 All insurances effected by the Individual Consultant shall be effected with an insurer of good repute and the Individual Consultant agrees to maintain such

insurances for a period of 1 year from the completion of the Services under this Contract so long as such insurance continues to be available upon reasonable terms at reasonable commercial rates failing which Procuring Entity shall be entitled to take out insurance itself to cover any potential liability to its own Procuring Entity in relation to the performance of the Services under this contract. The cost of such insurance shall be a debt immediately due from the Individual Consultant.

- 10.5 The provisions of this clause shall remain in full force and effect notwithstanding the completion of the performance of the Services hereunder and the satisfaction of all other provisions of this contract.

11. Copyright

- 11.1 Unless otherwise specified in the Contract, the title of the copyright and any other intellectual property rights arising out of the performance of this Contract shall be vested in the Procuring Entity which shall have the unfettered right to assign and grant sub-licenses in respect of the same. Except as permitted by the Terms of this Contract, the said materials shall not be reproduced or disseminated without proper consultation with, and written permission from, the Procuring Entity. This provision shall apply to the title to rights arising from the performance under this contract but shall not apply to the internal systems or rights in relation to the Individual Consultant's own systems not created specifically for this purpose and where the same are an important part of the Services. The Individual Consultant shall grant a free and irrevocable license to the Procuring Entity and its assigns for the use of the same in that connection.

The Individual Consultant warrants that it is free of any duties or obligations to third parties which may conflict with this contract and, without prejudice to the generality of Term 9 above, agrees to indemnify the Procuring Entity against any and all actions, costs damages, direct, indirect or consequential, and other expenses of any nature whatsoever which the Procuring Entity may incur or suffer as a result of the breach by the Individual Consultant of this warranty.

12. Non-Disclosure & Confidentiality

- 12.1 The Individual Consultant will treat all information and results obtained in discharging the Services under this Contract as confidential and will not disclose by any means whatsoever such results or material to any third party without the prior written consent of the Procuring Entity and will only use such information for the purposes of this Contract. In addition, the Individual Consultant shall not make any communication to the press or any broadcast (including, but not limited to, inclusion of information on a website) about the Services without the prior written agreement of the Project Director.
- 12.2 If the Individual Consultant violates clause 12.1, then (s)he will automatically and legally be held to pay the amount estimated as the minimum reasonable damages resulting from a breach of confidentiality. This is without prejudice to the right of the Procuring Entity to demonstrate that a higher amount of loss has or may be incurred as a result of liabilities held by the Consultant in relation to the Procuring Entity.

13. Suspension or Termination

- 13.1 In response to any factors out of the control of Procuring Entity and/or to breaches of contract by the Consultant, the Procuring Entity may at any time, by giving 30 days' notice in writing, terminate in whole or in part or suspend the Individual Consultant's performance of the Services. In such event, the Individual Consultant shall be entitled to payment pursuant to sub-clause 13.4 below. If such suspension continues for a period in excess of twelve months, then either party may terminate this contract forthwith by written notice to the other.
- 13.2 The Individual Consultant may also terminate the contract unilaterally, without providing any reasons for such decision, if (s) he gives a 30 days prior written notice to the Project Director.
- 13.3 In the event of early termination of the Contract under sub-clauses 13.1, 13.2 and 13.3 of this clause, the Individual Consultant shall be entitled to a fair and reasonable proportion of the fees payable for that part of the Services carried out up to the date of such termination or suspension but this shall not include any loss of profit or contracts or any other expenses, losses or claims arising out of such termination or suspension or consequential thereupon.

14. No Waiver

No forbearance shown or granted to the Individual Consultant, unless in writing by an authorized officer of the Procuring Entity, shall in any way affect or prejudice the rights of the Procuring Entity or be taken as a waiver of any of these Terms.

15. Variations

Any variation to these terms or the provisions of the Annexes shall be subject to a written Addendum and be signed by duly authorized signatories on behalf of the Individual Consultant and the Procuring Entity respectively.

16. Jurisdiction

This contract shall be governed by, and shall be construed in accordance with Botswana law and each party agrees to submit to the exclusive jurisdiction of the Botswana courts in regard to any claim or matter arising under this contract.

The following Annexes are integral part of this Contract:

Annex 1: Terms of Reference

Annex 2: Payment Schedule and Requirements

Signed today ***[insert the date]*** in four (4) originals in the English language by:

For the Procuring Entity		For the Individual Consultant	
Name :		Name :	
Position :			
Place :		Place :	
Date:		Date :	
Signature:		Signature:	

Annex 2: Payment Schedule and Requirements

1. For Services rendered pursuant to Annex 1, the Procuring Entity shall pay the Individual Consultant an amount not to exceed the ceiling of US Dollars ***[insert ceiling amount]***, which shall be considered the contract value. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits as well as any tax obligation that may be imposed on the Individual Consultant in his/her country of residence.

2. The breakdown of prices is:

N°	Description ¹	Unit	No. of Units	Unit Cost ² (in US\$)	Total (in US\$)
Fees		Day			
Reimbursable expenses, out of which		Total			
1	Per diem allowances	Day			
2	Flights ³	Trip			
3	Miscellaneous travel expenses ⁴	Trip			
4	Insurances cost, out of which:	Lump sum			
	i) Life insurance (including repatriation)	Lump sum			
	ii) Health insurance	Lump sum			
	iii) Third party liability insurance	Lump sum			
	iv) Professional liability insurance	Lump sum			
5	Drafting, reproduction of reports	Lump sum			
6	Office rent	Per month			
7	Others ⁴	TBD			
TOTAL FINANCIAL OFFER (Fees + Reimbursable expenses)					

¹ Delete items that are not applicable or add other items as the case may be.

² Indicate route of each flight, and if the trip is one- or two-ways.

³ Indicate unit cost.

⁴ Provide clear description of what is their exact nature

3. The payment shall be made in accordance with the agreed schedule in line with the deliverables

4. **Payment Conditions:** Payment shall be made in US Dollars not later than 30 days following submission of original invoice by the Individual Consultant, in duplicate, accompanied by the requested supporting documents. All payments under the contract shall be made by bank transfer into the bank account indicated by the Individual Consultant in her/his invoices.