# **REQUEST FOR PROPOSAL**



# **SELECTION OF INDIVIDUAL CONSULTANTS**

PROCUREMENT OF SERVICES- RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCTURE FOR PEACE

**REFERENCE NUMBER: SADC/3/5/2/42** 

5<sup>th</sup> September 2019

**1. The SADC Secretariat** is inviting Individual Consultants to submit their CV and Financial Proposal for the following services:

# "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE"

The Terms of Reference defining the minimum technical requirements for these services are attached as Annex 1 to this Request for Expression of Interest.

# 2. Only Individual Consultants are eligible for this assignment provided that they fulfil the following eligibility criteria:

- a) they are not bankrupt or being wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from similar procedures provided for in the national legislation or regulations of the SADC member states;
- b) they have not been convicted of offences concerning their professional conduct by a judgment which has the force of res judicata; (i.e. against which no appeal is possible);
- c) they have not been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;
- d) they have fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;
- e) they have not been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat' financial interests; or
- f) they are not being currently subject to an administrative penalty.
- The maximum budget for this contract is US \$ US\$14,850 inclusive of professional fees and reimbursable expenses. Proposals exceeding this budget will not be accepted.
- 4. Your Expression of Interest must be presented as per Standard Expression of Interest Forms attached as Annex 2 to this REOI, in the English language and be accompanied by copies of all the indicated supporting documents. If the supporting documents are not in English, these shall be accompanied by a certified translation into English.

5. Your proposal in a sealed envelope clearly marked "REFERENCE NUMBER: SADC/3/5/2/42 - "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE" should be submitted in our tender box located at the following address:

Secretary to the Tender Committee SADC Secretariat Plot 54385 CBD Private Bag 0095 Gaborone Botswana

- 6. The deadline for submission of your proposal, to the address indicated in Paragraph 5 above, is: **Wednesday, 25th September 2019 at 16:00hrs local time.**
- 7. Proposals submitted by E-mail *are* acceptable and should be submitted to <a href="mailto:shortermconsultancy@sadc.int">shortermconsultancy@sadc.int</a>. by the deadline in Para 6 above
- **8.** Your CV will be evaluated against the following criteria.

Category	Maximum Score
Qualification (Education)	20
Experience	25
Skills	25
Methodology and Understanding of the Assignment	20
Budget	10
Total	100

9. Your proposal should be submitted as per the following instructions and in accordance with the Terms and Conditions of the Standard Contract attached as Annex 3 to this REOI:

# (i) PRICES:

The financial proposal shall be inclusive of all expenses deemed necessary by the Individual Consultant for the performance of the contract.

(ii) EVALUATION AND AWARD OF THE CONTRACT: Expressions of Interest determined to be formally compliant to the requirements will be further evaluated technically.

An Expression of Interest is considered compliant to the requirements if:

It fulfils the formal requirements (see Paragraphs 2,3,4,5,6 and 7 above),

 The financial proposal (professional fees) does not exceed the maximum available budget for the contract as indicated under Para 3.

The award will be made to the applicant who obtained the highest technical score and with the financial offer (professional fees) within the budget as indicated under Para 3. Expressions of Interest not obtaining a minimum technical score of 70% will be rejected.

(iii) VALIDITY OF THE EXPRESSION OF INTEREST:
Your Expression of Interest should be valid for a period of 90 days from

the date of deadline for submission indicated in Paragraph 6 above.

- 10. The assignment is expected to commence within two (2) weeks from the signature of the contract.
- 11. Additional requests for information and clarifications can be made until 7 calendar days prior to deadline indicated in the paragraph 6 above, from:

The Procuring entity: **SADC Secretariat** Contact person: Mr Kitenge Tunda

Telephone: +2673951863

Fax: +2673972848

E-mail: ktunda@sadc.int Copy to pchifani@sadc.int, ggwaza@sadc.int

The answer on the questions received will be sent to the Consultant and all questions received as well as the answer(s) to them will be posted on the SADC Secretariat's website at the latest 3 calendar days before the deadline for submission of the proposals.

#### **ANNEXES:**

ANNEX 1: Terms of Reference

ANNEX 2: Expression of Interest Forms

**ANNEX 3: Standard Contract for Individual Consultants** 

Sincerely,

Title: Head of Procurement Unit

#### TERMS OF REFERENCE

REFERENCE NUMBER: SADC/3/5/2/42

# Recruitment of Short-term Individual Consultants for the SUPPORT TO MAPPING REGIONAL AND NATIONAL INFRASTRUCTURES FOR PEACE

# 1. BACKGROUND TO THE SADC PEACE AND SECURITY PROGRAMME

The European Union (EU) funded "Support to Peace and Security in the SADC Region" Pillar-Assessed Grant or Delegation Agreement (PAGoDA) is designed to strengthen the capacity of the SADC Secretariat and more specifically the Organ on Politics, Defence and Security Cooperation ("the Organ") in implementing its mandate to effect regional integration in the political and security sectors. The overall objective of the 11the EDF PAGODA is to promote peace, security, stability and democracy across the SADC region in line with the SADC Treaty. The programme also further aims to strengthen the SADC peace and security architecture in the areas of conflict prevention and resolution and public and human security, in line with SIPO II objectives.

The expected results, and Key Results Area of the Programme are:

- Result 1: Strengthened capacity for electoral assistance in SADC;
- Result 2: Enhanced capacity in SADC for (gender-sensitive) conflict prevention, management and resolution;
- Result 3: Strengthened capacity of SADC Member States to uphold and enhance cross-border safety and security; and
- Result 4: Strengthened SADC capacity to address the prevalence of sexual and gender-based violence

To achieve the expected results, the programme will:

- i) Enhance SADC's capacity for electoral assistance through training and deployment of relevant staff and stakeholders at regional and national levels; enabling peer exchange and dialogue opportunities; promoting domestication of existing legal and strategic frameworks as well as technical support to roll out expert rosters;
- ii) Strengthen SADC's capacity to prevent, manage and resolve intra and inter-state conflicts by enhancing deployment capabilities, developing conflict tracking tools and promoting inclusive approaches to addressing conflict;
- iii) Strengthen capacity of SADC Member States to uphold and enhance crossborder safety and security and implement global and regional commitments related to transnational (organised) crime; and
- iv) Enhance and strengthen capacity of key service providers (as defined in the SADC Regional Gender Based Violence Strategy and Framework for Action (2019 2030) to effectively respond to gender based violence.

Signed November 2018, the Programme will be implemented for a period of four (4) years, November 2018 – October 2022.

#### 2. DESCRIPTION OF THE ASSIGNMENT

The assignment focuses on Key Result Area 2 which will assist SADC to improve on its mediation and conflict prevention capacities. It is in this area of focus that this Action will enhance capacity in SADC for (gender-sensitive) conflict prevention, management and resolution.

In particular, this Action will aim to achieve the following key activities/outputs:

- 2.1 Strengthen regional and national infrastructures for peace;
- 2.2 Train mediation practitioners to strengthen SADC's deployment capability;
- 2.3 Support implementation of the SADC Regional Strategy on Women, Peace and Security (2018-2022) at regional and national levels; and
- 2.4 Design (in house) a conflict tracking tool and implement specific complementary interventions aimed at identifying and addressing structural and cultural drivers of social conflict at the national and regional levels.

# 3. OBJECTIVES

The objective of this consultancy is to establish the first step towards implementing Output 2.1. Above, that is "Strengthen regional and national infrastructures for peace" in the SADC region and at a Member State level. The following are the specific objectives of the consultancy:

- (i) Identify the nature of regional and national infrastructures for peace;
- (ii) Assess their mandates, operational modalities, and their human and financial capacities;
- (iii) Identify their strengths, weaknesses, and areas where improvements are required; and
- (iv) Make recommendations to address (iii) above in order to enhance the regional and national level infrastructures for peace.

#### 4. KEY DELIVERABLES

The major output of this assignment is the 11<sup>th</sup> EDF Peace and Security Baseline Report that will be validated by stakeholders and partners. The specific deliverables are:

- A final Detailed Inception Report outlining the individual consultants' understanding of the assignment and the approach to be employed. The inception report will be discussed prior to the commencement of the assignment;
- DRAFT Report on Mapping Regional and National Infrastructures for Peace in SADC, which will be subjected to a validation process in the form of a regional workshop convened by SADC Secretariat. The Individual Consultants will also be responsible for facilitating and rapporteuring this regional validation workshop;
- A FINAL DETAILED REPORT OF THE REGIONAL WORKSHOP to validate the Report; and
- A FINAL REPORT detailing, among others, the state of regional and national infrastructures for peace, their weaknesses, strengths, gaps, challenges, and recommendations on areas where specific improvements are needed.

# **5. REQUIREMENTS**

The individual consultants should possess demonstrable knowledge of key peace and security issues in the region in particularly, in the area of conflict prevention and mediation.

# Minimum requirements:

- A degree in International Relations, International Law, Political Science, Peace and Conflict Studies, or any related Social Science discipline; and
- At least ten years' progressive professional experience in conflict management, prevention, and resolution in the SADC region and in SADC Member States.

# Specific/other requirements:

- Strong appreciation of conflict prevention, management and resolution methodologies;
- Traceable experience in gap analysis and institutional needs assessments in the context of conflict prevention and infrastructures for peace;
- Experience conducting both qualitative and quantitative data collection and analysis;
- Excellent report writing and workshop facilitation skills;
- MS Office computer package proficiency;
- Be a citizen of the SADC region with experience of working in the region; and
- Fluency in spoken and written English. Knowledge of Portuguese and French will be an added advantage.

# **Gender Mainstreaming**

The SADC Secretariat particularly encourages applications from female candidates.

# **6. BUDGET AND TIMEFRAME**

The budget estimate for the duration of the assignment is \$ 14 850.

The payment schedule will be as follows:

**20% of the contract value** upon submission of Final Inception Report, detailing the methodology or conceptual framework to be employed, acceptable to the Procuring Entity;

**30% of the contract value** upon submission of the draft *Draft Report*, acceptable to the Procuring Entity; and

**50% of the contract value** upon submission of *Final Report*, and the detailed *Regional Validation Workshop Report.* 

# 7. DURATION

The assignment is expected to be completed in 42 working days spread over a period not exceeding two (2) calendar months.

Deliverable	Timeframe
	(# of days)
Final inception report detailing the methodology/ conceptual	10 (from day
framework to be employed. This will be finalised based on inputs	of contract
from the inception meeting to be held at SADC Secretariat	signature)
Draft Report	20
Documentation for Validation Workshop (preparations for regional	5
workshop)	
Workshop presentations, facilitation and rapporteuring (workshop	2
to be convened by SADC Secretariat)	
Final Reports:	5
i. Mapping of Regional and National Infrastructures for	
Peace	
ii. Detailed Regional Validation Workshop Report	
Total	42

#### 8. REPORTING STRUCTURE

The individual consultants shall report to, and perform the assigned tasks under the guidance and direct supervision of the Programmer Manager. A small technical committee will be constituted but communication will be channelled through the Programme Manager.

# 9. SELECTION CRITERIA

Eligible individual consultants are requested to submit, in their application packages, sample(s) of their previous work related to monitoring, evaluation and reporting, undertaken

either in their individual capacities or as members of a team. The bid evaluation criteria will be as follows:

Category	Maximum Score
Qualifications (education)	20
Experience	25
Skills	25
Methodology and Understanding of the assignment	20
Budget	10
Total	100

# **ANNEX 2: Expression of Interest Forms**

Α.	COVER LETTER FOR THE EXPESSION OF INTEREST FOR THE PROJECT	10
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# A. COVER LETTER FOR THE EXPRESSION OF INTEREST FOR THE PROJECT

REFERENCE NUMBER: NUMBER: SADC/3/5/2/42 "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE

Gaborone, 15th May 2019

To: SADC Secretariat

Dear Sirs:

I, the undersigned, offer to provide the consulting services for the "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE in accordance with your Request for Expression of Interests number SADC/3/5/42 -, dated [15<sup>th</sup> May 2019] for the sum of [Insert amount(s) in words and figures<sup>11</sup>]. This amount is inclusive of all expenses deemed necessary for the performance of the contract in accordance with the Terms of Reference requirements, and ["does" or "does not" delete as applicable] include any of the following taxes in Procuring Entity's country: value added tax and social charges or/and income taxes on fees and benefits.

I hereby declare that all the information and statements made in my CV are true and accept that any misinterpretation contained in it may lead to my disqualification.

I take note that under the provisions of the SADC Procurement Policy applicable to this Request For Expression of Interest, a contract cannot be awarded to applicants who are in any of the following situations:

- a) they are being bankrupt or wound up, are having their affairs administered by the courts, have entered into arrangements with creditors, have suspended business activities, are being subject of proceedings concerning those matters, or are being in any similar situations arising from a similar procedures provided for in the national legislation or regulations of the SADC member states;
- b) they have been convicted of offences concerning their professional conduct by a judgment which haves the force of res judicata; (i.e. against which no appeal is possible);
- c) they have been declared guilty of grave professional misconduct proven by any means which SADC Secretariat can justify;
- d) they have not fulfilled obligations related to the payments of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those countries where the contract is to be performed;
- e) they have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the SADC Secretariat' financial interests: or
- f) they are being currently subject to an administrative penalty.

I confirm that I am not in any of the situations described above, and I hereby declare that at any point in time, at the SADC Secretariat's request, I will provide certified copies of documents to prove so.

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<sup>&</sup>lt;sup>1</sup> Amounts must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.

I am aware that the penalties set out in the Procurement Policy may be applied in the case of a false declaration, should the contract be awarded to me.

My proposal is binding upon me for the period indicated in Paragraph 9(iii) of this Request for Expression of Interest.

I undertake, if my Proposal is accepted, to initiate the consulting services related to the assignment not later than the date indicated in Paragraph 10 of the Request for Expression of Interest, and to be available for the entire duration of the contract as specified in the Terms of Reference.

I understand you are not bound to accept any Proposal you receive.	
Yours sincerely,	
Signature [In full and initials]:	
Name and Title of Signatory:	

# B. CURRICULUM VITAE [Insert full name]

**1. Family name:** [insert the name]

**2. First names:** [insert the names in full]

3. Date of birth: [insert the date]

**4. Nationality:** [insert the country or countries of citizenship]

**5. Physical address:** [insert the physical address]

6. Postal address

**7. Phone:** [Insert Postal Address]

**8. E-mail:** [insert the phone and mobile no.]

[Insert E-mail address(es)

9. Education:

Institution: [Date from – Date to]	Degree(s) or Diploma(s) obtained:
[indicate the month and the year]	[insert the name of the diploma and the specialty/major]
[indicate the month and the year]	[insert the name of the diploma and the specialty/major]

**10. Language skills:** (Indicate competence on a scale of 1 to 5) (1 – excellent; 5 – basic)

Language	Reading	Speaking	Writing
[insert the language]	[insert the no.]	[insert the no.]	[insert the no.]
[insert the no.]	[insert the no.]	[insert the no.]	[insert the no.]

**11. Membership of professional** [indicate the name of the professional body]

bodies:

12. Other skills:[insert the skills]13. Present position:[insert the name]14. Years of experience:[insert the no]

**15. Key qualifications:** (Relevant to the assignment)

[insert the key qualifications]

16. Specific experience in the region:

Country	Date from - Date to
[insert the country]	[indicate the month and the year]
[insert the country]	[indicate the month and the year]

# 17. Professional experience:

Date from – Date to	Location of the assignm ent	Company& reference person (name & contact details)	Position	Description
[indicate the month and the year]	[indicate the country and the city]	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	[indicate the exact name and title and if it was a short term or a long term position]	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:
[indicate the month and the year]	[indicate the country and the city]	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	[indicate the exact name and title and if it was a short term or a long term position]	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:
[indicate the month and the year]	[indicate the country and the city]	Name of the Company: Address of the company: Phone:	[indicate the exact name and title and if it was a	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:

Date from – Date to	Location of the assignm ent	Company& reference person (name & contact details)	Position	Description
		Fax: Email: Name and title of the reference person from the company:	short term or a long term position]	
[indicate the month and the year]	[indicate the country and the city]	Name of the Company: Address of the company: Phone: Fax: Email: Name and title of the reference person from the company:	[indicate the exact name and title and if it was a short term or a long term position]	Name of the Assignment: Beneficiary of the Assignment: Brief description of the Assignment: Responsibilities:

# **18. Other relevant information:** (e.g. Publications)

# [insert the details]

## 19. Statement:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

I hereby declare that at any point in time, at the SADC Secretariat's request, I will provide certified copies of all documents to prove that I have the qualifications and the professional experience as indicated in points 8 and 14 above<sup>1</sup>, documents which are attached to this CV as photocopies.

By signing this statement, I also authorize the SADC Secretariat to contact my previous or current employers indicated at point 14 above, to obtain directly reference about my professional conduct and achievements.

Date:

ATTACHMENTS: 1) Proof of qualifications indicated at point 9

2) Proof of working experience indicated at point 15

<sup>&</sup>lt;sup>1</sup> The proof of stated qualifications shall be in the form of the copies of the degrees and diploma obtained, while for the professional experience the proof shall be either acknowledgement letters from the previous employers or copies of the Purchase Order/ Contract signed with them.

# C. FINANCIAL PROPOSAL

REQUEST FOR SERVICES TITLE: "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE"

# **REFERENCE NUMBER: SADC/3/5/2/42**

N°			Unit <sup>2</sup>	No. of Units	Unit Cost (in US\$)	Total (in US\$)		
Fee	es		Day					
Rei whi		rsable expenses, out of	Total					
1	Per	diem allowances	Day	N/A				
2	Flig	hts <sup>3</sup>	Trip	N/A				
3		cellaneous travel enses <sup>4</sup>	Trip					
4	Insurances cost, out of which:		Lump sum					
	i)	Life insurance (including repatriation)	Lump sum	N/A				
	ii)	Heath insurance	Lump sum	N/A				
	iii)	Third party liability insurance	Lump sum					
	iv) Professional liability insurance		Lump sum	N/A				
5	Drafting, reproduction of reports		Lump sum					
6	Office rent		Per month	N/A				
7	Others <sup>4</sup>		TBD					
	TOTAL FINANCIAL OFFER (Fees + Reimbursable expenses)							

Signature [In full and initials]:	
N 17'4 (O' 1	
Name and Title of Signatory:	

<sup>&</sup>lt;sup>1</sup> Delete items that are not applicable or add other items as the case may be.

<sup>&</sup>lt;sup>2</sup> Indicate unit cost..

<sup>&</sup>lt;sup>3</sup> Indicate route of each flight, and if the trip is one- or two-ways

<sup>&</sup>lt;sup>4</sup> Provide clear description of what is their exact nature

# **ANNEX 3: STANDARD CONTRACT FOR INDIVIDUAL CONSULTANTS**

#### STANDARD TERMS OF CONTRACT

(Individual Consultant)

REFERENCE NUMBER:SADC/3/5/2/42 - RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE

THIS Contract ("Contract") is made on [day] day of the month of [month], [year], between, **on the one hand**,

**The SADC Secretariat** (hereinafter called the "Procuring Entity") with the registered business in: *Plot 54385 CBD, Private Bag 0095, Gaborone, Botswana* 

and, on the other hand,

[Insert the full name of the individual] (Hereinafter called the "Individual Consultant"), with residence in [insert the Individual Consultant' address, phone, fax, email], citizen of [insert the Individual Consultant's citizenship] owner of the ID/Passport Number [insert the number] issued on [insert the date] by [insert the name of the issuance authority],

WHEREAS, the Procuring Entity wishes to have the Individual Consultant perform the services hereinafter referred to, and WHEREAS, the Individual Consultant is willing to perform these services,

NOW THEREFORE THE PARTIES hereby agree as follows:

# 1. Definitions

For the purpose of this contract the following definitions shall be used:

- 1.1 **Procuring Entity** means the legally entity, namely *the SADC Secretariat* who purchase the Services described in Annex 1 to this contract.
- 1.2 **Contract** means the agreement covered by these Terms including the Annexes and documents incorporated and/or referred to therein, and attachments thereto.

Contract value means the total price of the Financial Proposal included in the Individual Consultant's Expression of Interests dated [insert the date] for the project - REQUEST FOR SERVICES TITLE: "RECRUITMENT OF A SHORT-TERM INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE"

and reflected as such in the Annex 2 of this contract.

Individual Consultant means the individual to whom the Procuring Entity has awarded this contract following the Request for Expression of Interest - REQUEST FOR SERVICES TITLE: "RECRUITMENT OF A SHORT-TERM

# INDIVIDUAL CONSULTANT FOR PROVISION OF SUPPORT TO MAPPING THE REGIONAL AND NATIONAL INFRASTRUCUTRE FOR PEACE"

1.3 **Services** means the Services to be performed by the Individual Consultant as more particularly described in Annex 1; for the avoidance of doubt, the Services to be performed include all obligations referred to in this Contract (as defined above).

## 2. The Services

The Individual Consultant will undertake the performance of the Services in accordance with the provisions of the Annex 1 of this Contract and shall, in the performance of the Services, exercise all the reasonable skill, care and diligence to be expected of an Individual Consultant carrying out such services.

# 3. Payment

- 3.1 The Individual Consultant shall be paid for the Services at the rates and upon the terms set out in Annex 2.
- 3.2 Payment shall be made to the Individual Consultant in US \$ unless otherwise provided by this contract and where applicable, VAT shall be payable on such sums at the applicable rate. The Individual Consultant must, in all cases, provide their VAT registration number on all invoices.
- 3.3 Unless otherwise provided in this Contract, invoices shall be delivered to and made out to Procuring Entity and shall be paid within 30 days of receipt by the Project Director, subject to the Individual Consultant having complied with his/her obligations hereunder in full as stated in the Annex II to this Contract. The Procuring Entity reserves the right to delay and/or withhold, fully or partially, payments that have not been supported by full and appropriate supporting evidence that the services provided were delivered and accepted by the Procuring Entity.

## 4. Status of the Individual Consultant

- 4.1 For the duration of the Contract, the Individual Consultant will have a status similar to the Procuring Entity's contractor with regards to their legal obligations, privileges and indemnities in the Procuring Entity's country.
- 4.2 The Procuring Entity will be responsible for ensuring all visas, work permits and other legal requirements to enable The Individual Consultant to live and work in the countries of the assignment as per the duties under the contract.
- 4.3 The Individual Consultant shall be responsible for paying any tax and social security contributions in his/her country of residence, for any activity deriving from this contract. Such costs shall be assumed included in the Individual Consultant's fees.
- 4.4 The Procuring Entity shall be responsible for paying any taxes resulting from the activities performed under this contract imposed to the Individual in the country (ies) of the assignment with the exception of the ones set out in paragraph 4.3 above.

# 5. Supervision of the Services

The Individual Consultant undertakes to deliver the Services in compliance with a system of quality assurance acceptable to the Procuring Entity which shall include any steps to comply with the standards operated by the Procuring Entity. The Individual Consultant shall be informed of the specific requirements in relation to this, and at the request of the Procuring Entity (s) he shall allow access to information, records and other materials during normal office working hours as the Procuring Entity may require in order to confirm that the work in progress is in accordance with these quality procedures.

# 6. Compliance with this contract

The Procuring Entity will be entitled to seek confirmation from the Individual Consultant, at any time during the delivery of this contract, and for a period of 1 year after its completion, that the Individual Consultant has complied with the terms of this contract. It may also request the provision of reasonable documentary evidence to support this. As stated in article 2.3 of this Contract, the Procuring Entity may delay or withhold payments in the event of noncompliance.

# 7. Assignment and Subcontracting

- 7.1 The Individual Consultant shall under no circumstances sub-contract, sublet, assign or transfer the Contract or any part share or interest in it. Where the Individual Consultant considers it necessary to use the services of a third party, (s)he shall inform the Procuring Entity's Project Director in writing, and only once written approval is provided can the Consultant proceed to use a third party.
- 7.2 When the Project Director agrees that the activities under the contract can be performed by a third party, the third party involved in the delivery of services in this contract, will be under the direct control of the Individual Consultant. The Procuring Entity will not be responsible for the third party's performance of duties or Services assigned to it, and neither for ensuring that conditions of employment are met nor for any other employment obligations relating to that person including, but not restricted to, taxation and insurance including professional indemnity insurance, employer's liability insurance and public liability insurance.

# 8. Breach of the Terms

In the event of a breach of any Terms of the Contract, the party not in breach may serve a notice on the party in breach requiring the breach to be remedied within a period specified in the notice, not normally being longer than 30 days. If the breach has not been remedied before the expiry of the specified period, the party not in breach may then terminate the Contract in writing and may take appropriate steps to remedy the breach.

# 9. Liability of the Individual Consultant

9.1 The Procuring Entity will be relying on the Individual Consultant's skills, expertise and experience in relation to the performance of the Services in accordance with this contract and also upon the accuracy of all representations

- and statements made and the advice given in connection with the provision of the Services.
- 9.2 In view of the reliance by the Procuring Entity set out in 9.1 above, the Individual Consultant agrees to indemnify at its own expense, protect and defend the Procuring Entity, its agents and employees, from and against all actions, claims, losses or damages arising out of the Individual Consultant's performance of this contract provided that:
  - a) the Individual Consultant is notified of such actions, claims, losses or damages not later than 30 days after the Procuring Entity becomes aware of them;
  - b) the ceiling on the Individual Consultant's liability to the Procuring Entity shall be limited to an amount equal to the contract value but such ceiling shall not apply to any losses or damages caused to third parties by the Individual Consultant's willful misconduct; and
  - c) the Individual Consultant's liability shall be limited to actions, claims, losses or damages directly caused by such failure to perform its obligations under the contract and shall not include liability arising from unforeseeable occurrences incidental or indirectly consequential to such failure.
- 9.3 At its own expense, the Individual Consultant shall, upon request of the Procuring Entity, remedy any defect in the performance of the services in the event of the Individual Consultant's failure to perform its obligations under the contract.
- 9.4 The Individual Consultant shall have no liability whatsoever for actions, claims, losses or damages occasioned by the Procuring Entity omitting to act on any recommendation, or overriding any act or decision of the Individual Consultant, or requiring the Individual Consultant to implement a decision or recommendation with which the Individual Consultant disagrees or on which (s) he expresses a serious reservation.

## 10. Insurance

- 10.1 The Individual Consultant must ensure that full and appropriate professional indemnity insurance and third party liability insurance, is in place for all Services provided.
- 10.2 The cost of such insurances will be covered from reimbursable expenses of the contract.
- 10.3 Where national requirements or practices provide for different regulations/practices concerning insurance, the Individual Consultant may provide written confirmation of all insurances held and a signed declaration that these are in line with regulations/practices in their country of operation. Only if such confirmation has been provided, and written confirmation of its acceptance provided to the Individual Consultant by the Procuring Entity, will this remove the obligation to meet the requirements of clause 11.1 of this Contract in full.
- 10.4 All insurances effected by the Individual Consultant shall be effected with an insurer of good repute and the Individual Consultant agrees to maintain such insurances for a period of 1 year from the completion of the Services under this

Contract so long as such insurance continues to be available upon reasonable terms at reasonable commercial rates failing which Procuring Entity shall be entitled to take out insurance itself to cover any potential liability to its own Procuring Entity in relation to the performance of the Services under this contract. The cost of such insurance shall be a debt immediately due from the Individual Consultant.

10.5 The provisions of this clause shall remain in full force and effect notwithstanding the completion of the performance of the Services hereunder and the satisfaction of all other provisions of this contract.

# 11. Copyright

11.1 Unless otherwise specified in the Contract, the title of the copyright and any other intellectual property rights arising out of the performance of this Contract shall be vested in the Procuring Entity which shall have the unfettered right to assign and grant sub-licences in respect of the same. Except as permitted by the Terms of this Contract, the said materials shall not be reproduced or disseminated without proper consultation with, and written permission from, the Procuring Entity. This provision shall apply to the title to rights arising from the performance under this contract but shall not apply to the internal systems or rights in relation to the Individual Consultant's own systems not created specifically for this purpose and where the same are an important part of the Services. The Individual Consultant shall grant a free and irrevocable licence to the Procuring Entity and its assigns for the use of the same in that connection.

The Individual Consultant warrants that it is free of any duties or obligations to third parties which may conflict with this contract and, without prejudice to the generality of Term 9 above, agrees to indemnify the Procuring Entity against any and all actions, costs damages, direct, indirect or consequential, and other expenses of any nature whatsoever which the Procuring Entity may incur or suffer as a result of the breach by the Individual Consultant of this warranty.

# 12. Non Disclosure & Confidentiality

- 12.1 The Individual Consultant will treat all information and results obtained in discharging the Services under this Contract as confidential and will not disclose by any means whatsoever such results or material to any third party without the prior written consent of the Procuring Entity and will only use such information for the purposes of this Contract. In addition, the Individual Consultant shall not make any communication to the press or any broadcast (including, but not limited to, inclusion of information on a website) about the Services without the prior written agreement of the Project Director.
- 12.2 If the Individual Consultant violates clause 12.1, then (s) he will automatically and legally be held to pay the amount estimated as the minimum reasonable damages resulting from a breach of confidentiality. This is without prejudice to the right of the Procuring Entity to demonstrate that a higher amount of loss has or may be incurred as a result of liabilities held by the Consultant in relation to the Procuring Entity.

# 13. Suspension or Termination

- 13.1 In response to any factors out of the control of Procuring Entity and/or to breaches of contract by the Consultant, the Procuring Entity may at any time, by giving 30 days' notice in writing, terminate in whole or in part or suspend the Individual Consultant's performance of the Services. In such event, the Individual Consultant shall be entitled to payment pursuant to sub-clause 13.4 below. If such suspension continues for a period in excess of twelve months, then either party may terminate this contract forthwith by written notice to the other.
- 13.2 The Individual Consultant may also terminate the contract unilaterally, without providing any reasons for such decision, if (s) he gives a 30 days prior written notice to the Project Director.
- 13.3 In the event of early termination of the Contract under sub-clauses 13.1, 13.2 and 13.3 of this clause, the Individual Consultant shall be entitled to a fair and reasonable proportion of the fees payable for that part of the Services carried out up to the date of such termination or suspension but this shall not include any loss of profit or contracts or any other expenses, losses or claims arising out of such termination or suspension or consequential thereupon.

#### 14. No Waiver

No forbearance shown or granted to the Individual Consultant, unless in writing by an authorized officer of the Procuring Entity, shall in any way affect or prejudice the rights of the Procuring Entity or be taken as a waiver of any of these Terms.

#### 15. Variations

Any variation to these terms or the provisions of the Annexes shall be subject to a written Addendum and be signed by duly authorized signatories on behalf of the Individual Consultant and the Procuring Entity respectively.

# 16. Jurisdiction

This contract shall be governed by, and shall be construed in accordance with Botswana law and each party agrees to submit to the exclusive jurisdiction of the Botswana courts in regard to any claim or matter arising under this contract.

The following Annexes are integral part of this Contract:

Annex 1: Terms of Reference

Annex 2: Payment Schedule and Requirements

Signed today *[insert the date]* in four (4) originals in the English language by:

For	the Procuring Entity	For the Individual Consultant		
Name:		Name :		
Position:				
Place :		Place :		
Date:		Date :		
Signature:		Signature:		

# Annex 1: Terms of Reference

[Insert the Terms of Reference]

# Annex 2: Payment Schedule and Requirements

- 1. For Services rendered pursuant to Annex 1, the Procuring Entity shall pay the Individual Consultant an amount not to exceed the ceiling of US Dollars *[insert ceiling amount]*, which shall be considered the contract value. This amount has been established based on the understanding that it includes all of the Consultant's costs and profits as well as any tax obligation that may be imposed on the Individual Consultant in his/her country of residence.
- 2. The breakdown of prices is:

N°		Description <sup>1</sup>	Unit	No. of Units	Unit Cost <sup>2</sup> (in US\$)	Total (in US\$)
Fee	Fees		Day			
Reimbursable expenses, out of which		rsable expenses, out of	Total			
1	Per diem allowances		Day			
2	Flights <sup>3</sup>		Trip			
3	Miscellaneous travel expenses <sup>4</sup>		Trip			
4	Insurances cost, out of which:		Lump sum			
	i)	Life insurance (including repatriation)	Lump sum			
	ii)	Heath insurance	Lump sum			
	iii)	Third party liability insurance	Lump sum			
	iv)	Professional liability insurance	Lump sum			
5	Drafting, reproduction of reports		Lump sum			
6	Office rent		Per month			
7	Others <sup>4</sup>		TBD			
	Т					

<sup>&</sup>lt;sup>1</sup> Delete items that are not applicable or add other items as the case may be.

<sup>&</sup>lt;sup>2</sup> Indicate route of each flight, and if the trip is one- or two-ways.

<sup>&</sup>lt;sup>3</sup> Indicate unit cost.

<sup>&</sup>lt;sup>4</sup> Provide clear description of what is their exact nature

- 3. The payment shall be made in accordance with the agreed schedule in line with the deliverables
- 4. **Payment Conditions:** Payment shall be made in US Dollars not later than 30 days following submission of original invoice by the Individual Consultant, in duplicate, accompanied by the requested supporting documents. All payments under the contract shall be made by bank transfer into the bank account indicated by the Individual Consultant in her/his invoices.